

Numerik 1 Übungstunde, Gruppe 1 (Donnerstag)

Woche 06

Übungstunden werden registriert. Bitte informieren Sie den Assistenten, falls Sie nicht in der Aufzeichnung erscheinen möchten.

Streaming (öffentlich) und Aufzeichnungen (Anmeldung nötig):

https://seminarlive.mnf.uzh.ch/semlive/index.php?id=event_detail&module=mat801&semester=fs23&group=1

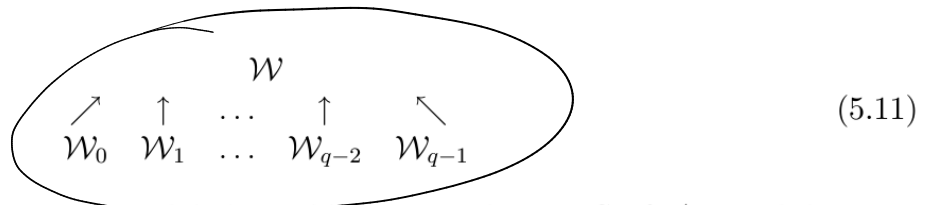
Notizen (diese Datei) und Python scripts:

<https://user.math.uzh.ch/florian/stable/page/2023Mat801/notes.php>

- (a) Explain how the quantities in the FFT algorithm (§5.4, pp. 45-56) correspond to the quantities of the abstract hierarchical algorithm as in Definition 1 and 2., i.e give a full description of $\mathcal{N}(\mathcal{T}_{\text{FFT}})$, $\mathcal{E}(\mathcal{T}_{\text{FFT}})$, $\mathcal{L}(\mathcal{T}_{\text{FFT}})$ and $\mathcal{N}_m(\mathcal{T}_{\text{FFT}})$ for all $0 \leq m \leq \log_2(n_{\text{FFT}}) \in \mathbb{N}$.

2) Organisation in Baumstruktur.

Die Nachfolgerstruktur



lässt sich als Baum organisieren. Das globale Problem \mathcal{W} ist die n -te Stufe (Wurzel des Bau-

Definition 1: A tree \mathcal{T} is given by a set of nodes $\mathcal{N}(\mathcal{T})$ and a set of directed edges $\mathcal{E}(\mathcal{T}) \subseteq \{(v, v') \mid v, v' \in \mathcal{N}(\mathcal{T})\}$. Nodes $v \in \mathcal{N}$ can have successors, meaning there exists a node v' and a directed edge $(v, v') \in \mathcal{E}(\mathcal{T})$ and the set of all successors of v is denoted by $\sigma(v)$. We say a vertex \tilde{v} is the predecessor of a vertex v if $v \in \sigma(\tilde{v})$. A tree \mathcal{T} must satisfy the following properties:

- All nodes $v \in \mathcal{N}(\mathcal{T})$ have at most one predecessor.
- There exists exactly one node $v_0 \in \mathcal{N}(\mathcal{T})$ which has no predecessor. v_0 is called the root.
- There exist nodes $v \in \mathcal{N}$ which have no successor. These nodes are called leafs. The set of leafs is denoted by $\mathcal{L}(\mathcal{T})$.

For $m \in \mathbb{N}_0$, the set of all nodes with depth m is denoted by $\mathcal{N}_m(\mathcal{T})$.

$\mathcal{T}_{\text{FFT}} = ?$

$W \rightarrow \text{FFT}(0 \dots N)$
 Set of indices =: W

$W_{0,0} = W = \{0 \dots N\}$

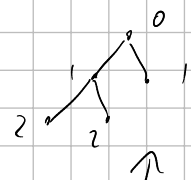
$W_{1,0} := \{0, 2, \dots, 2 \lfloor \frac{N}{2} \rfloor\}$

$W_{1,1} := \{1, 3, \dots\}$

Problem: if $\text{depth}(v) = 0$ for all leaves what happens with

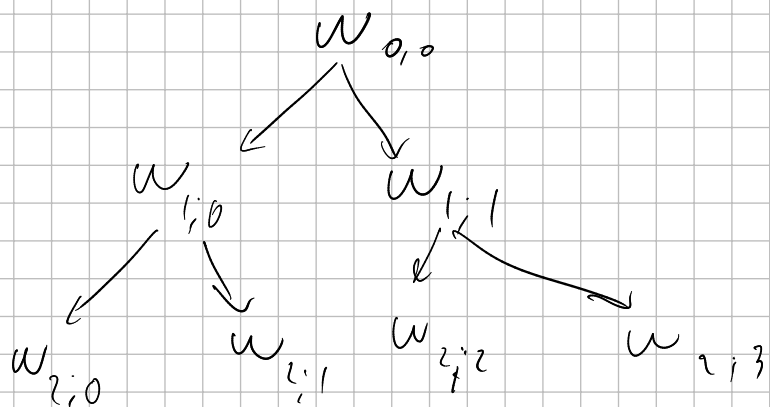


→ Solution: depth of root is 0!



if N is not a power of 2 this may happen

$Z_{\text{FFT}} = \left(\{w_{i,k} \mid 0 \leq i \leq \text{depth}(Z_{\text{FFT}}), 0 \leq k \leq 2^i - 1\}, \right.$
 $\left. \{ (w_{i,k}; w_{i+1,\ell}) \text{ with } \ell = 2k \text{ or } \ell = 2k+1 \} \right)$



if balanced!

Leaves: $w_{k,h}$ with $k =$, $h =$

Nodes of depth m : $w_{k,h}$ with $k =$, $h =$

homework!

b) $\sigma(v) :=$ successors of v .
 Assume $\exists g \in \mathbb{N} \setminus \{0, 1\}$ s.t.

$2 \leq \#(\sigma(v)) \leq g$

$$n := \text{depth}(w) \quad w \in L(\mathcal{T})$$

Give a lower and upper bound for $\#(\mathcal{N}(\mathcal{T}))$

$$\#(\mathcal{N}(\mathcal{T})) = \sum_{i=0}^n \#(\mathcal{N}_i(\mathcal{T}))$$

$$\frac{\# \mathcal{N}_i(\mathcal{T})}{\# \mathcal{N}_{i+1}(\mathcal{T})} \leq ?$$

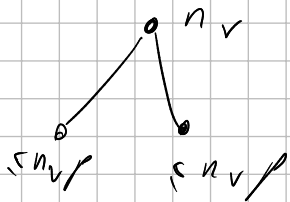
c) For every node v , $n(v) := n_v$ $n: \mathcal{N}(\mathcal{T}) \rightarrow \mathbb{R}_{\geq 0}$

Assume $n(v) \geq 1$ for each node

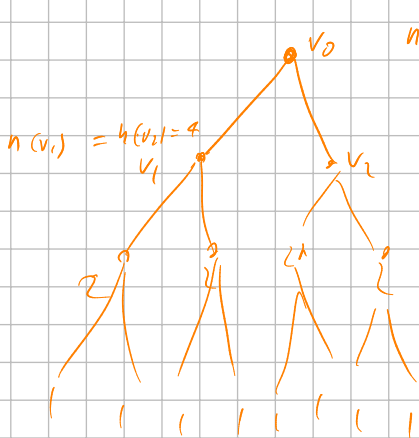
$$\sum_{v \in \mathcal{N}_i(\mathcal{T})} n(v) = n(v_0) = \sum_{v \in \mathcal{N}_0(\mathcal{T})} n(v) = \sum_{v \in \{v_0\}} n(v)$$

does not depend on i

$$n_z \leq n_v \quad \forall z \in \sigma(v), \quad \forall v \in \mathcal{N}(\mathcal{T}) \setminus L(\mathcal{T})$$



e.g. $p = \frac{1}{2}$ $n_{v_0} = 8$
 $q = 2$ (binary tree, in our setting exactly two successors per node)



15 nodes

at most $n(v_0)/2$ per child, but

$$\sum_{v \in \sigma(v_0)} n(v) = n(v_0)$$

$$\sum_{i \in \{1, 2\}} n(v_i) \leq \sum_{i \in \{1, 2\}} \frac{1}{2} n(v_0) = n(v_0)$$

then this is =

Now for generic $q, p \rightarrow$ more difficult

• Function $ops : \mathcal{N} \rightarrow \mathbb{R}$

$$\exists C_{init} \text{ s.t. } ops(v) \leq C_{init} \quad \forall v \in \mathcal{L}(\mathcal{T})$$
$$ops(w) \leq C n_w \quad \forall w \in \mathcal{N}(\mathcal{T}) \setminus \mathcal{L}(\mathcal{T})$$

• Actual question: $\sum_{v \in \mathcal{N}} ops(v) \leq ?$

! depends on depth, p, q