

Coding Theory and Cryptography:  
A Conference in Honor of Joachim Rosenthal's 60<sup>th</sup> Birthday

# The Marginal Distribution of the Lee Channel and its Applications

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joint work with Hannes Bartz and Gianluigi Liva  
and with Karan Khathuria (UT) and Violetta Weger (TUM)

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Knowledge for Tomorrow

# Outline

- 1 Preliminaries and Motivation
- 2 The Lee Channel and its Properties
- 3 Information Set Decoding
- 4 Information Set Decoding using Restricted Spheres
  - Bounded Minimum Distance Decoding
  - Decoding Beyond the Minimum Distance



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## Syndrome Decoding Problem

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  - Is an NP-hard problem (in the Hamming metric, Lee metric, ...)
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- The security of the McEliece cryptosystem relies on the hardness of the syndrome decoding problem
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  - generic decoding has a large cost in the Lee metric
- Has a unique solution for a relatively small weight (w.r.t. the GV bound)



# Ring-Linear Codes

Let  $p$  a prime number and  $s$  and  $n$  two positive integers.

## Definition

A linear code  $C \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$  is a  $\mathbb{Z}/p^s\mathbb{Z}$ -submodule of  $(\mathbb{Z}/p^s\mathbb{Z})^n$ .



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## Parameters:

- $n$  is called the *length* of  $C$
- $k := \log_{p^s} |C|$  is the  $\mathbb{Z}/p^s\mathbb{Z}$ -*dimension* of  $C$
- $R := k/n$  denotes the *rate* of  $C$ .





## The Lee Metric

### Definition

For  $a \in \mathbb{Z}/p^s\mathbb{Z}$  and  $e = (e_1, \dots, e_n) \in (\mathbb{Z}/p^s\mathbb{Z})^n$  we define their *Lee weight*, respectively, by

$$\text{wt}_L(a) := \min(a, |p^s - a|),$$

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### Example over $\mathbb{Z}/5\mathbb{Z}$

- 0 :  $\text{wt}_L(0) = 0$
- 1 :  $\text{wt}_L(1) = 1$
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### Properties:

For every  $a \in \mathbb{Z}/p^s\mathbb{Z}$  and  $e \in (\mathbb{Z}/p^s\mathbb{Z})^n$

- $\text{wt}_L(a) = \text{wt}_L(|p^s - a|)$
- $\text{wt}_H(a) \leq \text{wt}_L(a) \leq \lfloor p^s/2 \rfloor =: M$
- $\text{wt}_H(e) \leq \text{wt}_L(e) \leq nM$



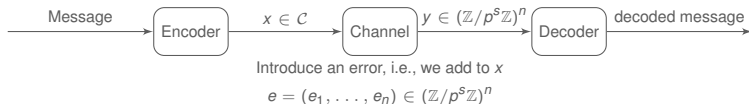
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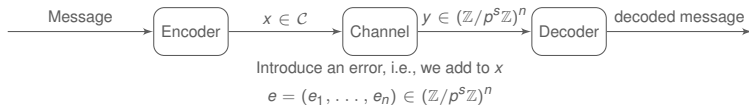


**Here:** Take  $e$  uniformly at random from  $e \in \mathcal{S}_{t,p^s}^{(n)} := \{z \in (\mathbb{Z}/p^s\mathbb{Z})^n \mid \text{wt}_L(z) = t\}$ .



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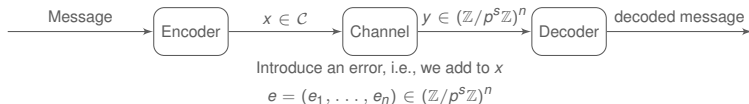
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**Question:** What can we say about the entries of the error term?



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### Lemma

Let  $a \in \mathbb{Z}/p^s\mathbb{Z}$  be chosen uniformly at random. Then

$$\delta_{p^s} := \mathbb{E}(\text{wt}_L(a)) = \begin{cases} \frac{(p^s)^2 - 1}{4p^s} & \text{if } p^s \text{ is odd,} \\ \frac{p^s}{4} & \text{if } p^s \text{ is even.} \end{cases}$$



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### Theorem [1]

Assume that the asymptotic relative Lee weight is  $T := \lim_{n \rightarrow \infty} \frac{t(n)}{n}$ . For every  $i \in \mathbb{Z}/p^s\mathbb{Z}$  the marginal distribution of  $E$  is given by

$$p_i := \mathbb{P}(E = i) = \frac{1}{\sum_{j=0}^{p^s-1} \exp(-\beta \text{wt}_L(j))} \exp(-\beta i)$$

where  $\beta$  is the solution to  $T = \sum_{i=0}^{M} \text{wt}_L(i) p_i$ .

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<sup>1</sup>“On the Properties of Error Patterns in the Constant Lee Weight Channel”. In: *International Zurich Seminar on Information and Communication (IZS)*. 2022, pp. 44–48.



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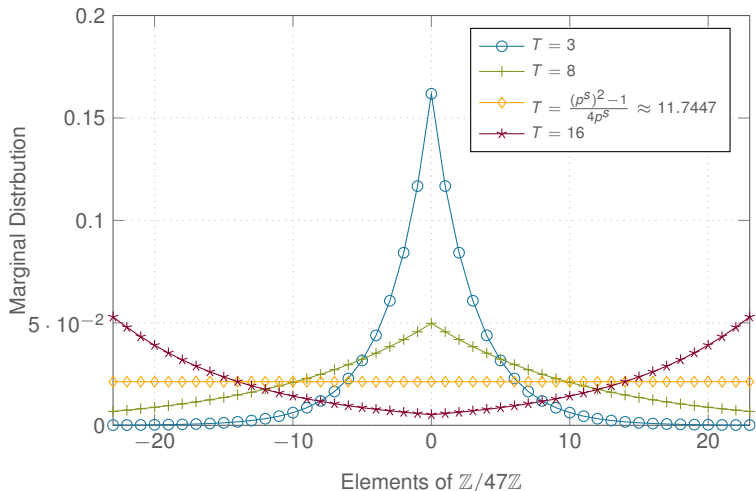
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**Note**  $T < \delta_{p^s} \iff \beta > 0$



## The Marginal Distribution - Example over $\mathbb{Z}/47\mathbb{Z}$



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## Information Set Decoding in the Lee Metric

Consider an instance of the Lee Syndrome Decoding Problem (LSDP):

Given  $H \in (\mathbb{Z}/p^s\mathbb{Z})^{(n-k) \times n}$ ,  $s \in (\mathbb{Z}/p^s\mathbb{Z})^{n-k}$  and  $t \in \mathbb{N}$ ,  
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<sup>2</sup>Alexander May, Alexander Meurer, and Enrico Thomae. "Decoding Random Linear Codes in  $\tilde{O}(2^{0.054n})$ ". In: *International Conference on the Theory and Application of Cryptology and Information Security*. Springer. 2011



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<sup>2</sup>André Chailloux, Thomas Debris-Alazard, and Simona Etinski. "Classical and Quantum algorithms for generic Syndrome Decoding problems and applications to the Lee metric". In: *International Conference on Post-Quantum Cryptography* Springer 2021 pp 44–62



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- The cost of an ISD algorithm is given by

$$\underbrace{\text{nr. of iterations}}_1 \times \text{cost per iteration} \\ \text{success probability per iter.}$$



## General Framework

We use the idea of partial Gaussian elimination to solve the problem:

1. Find  $U \in \text{GL}_{n-k}(\mathbb{Z}/p^s\mathbb{Z})$  such that

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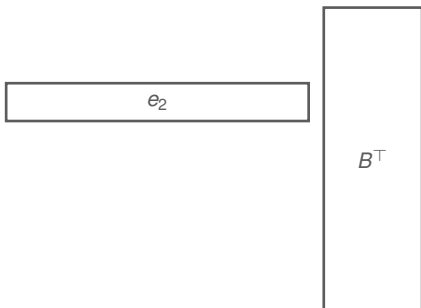
$$e_2 B^T = s_2$$

4. Solve the **smaller instance** of the LSDP. Immediately check whether  $e_1 = s_1 - e_2 A^T$  has Lee weight  $t - v$ .



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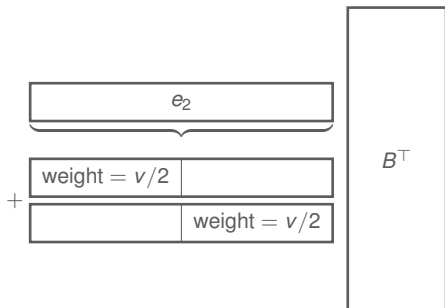
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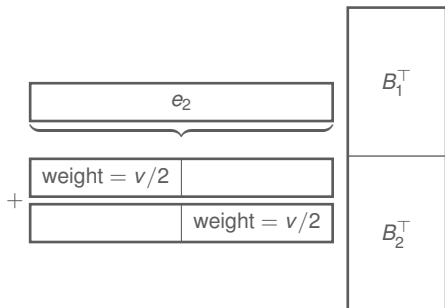
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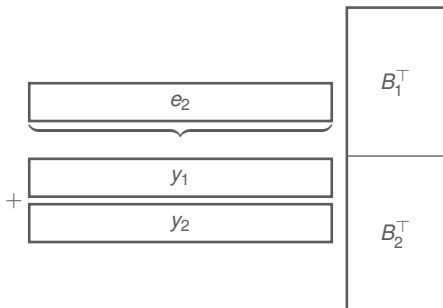
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**Note:** The two vectors  $y_1 \in \mathcal{L}_1$  and  $y_2 \in \mathcal{L}_2$  share  $\varepsilon$  nonzero positions. The expected weight of  $y_1 + y_2$  is still  $v$ .



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Focus on the **small instance** of the Lee syndrome decoding problem.

Given  $B \in (\mathbb{Z}/p^s\mathbb{Z})^{\ell \times (k+\ell)}$ ,  $s_2 \in (\mathbb{Z}/p^s\mathbb{Z})^\ell$  and  $v, t \in \mathbb{N}$   
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  - for  $t/n > M/2$  the contrary is true
- With high probability the least probable entries of  $e$  lie **outside** the information set, hence are not in  $e_2$ .



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Focus on the **small instance** of the Lee syndrome decoding problem.

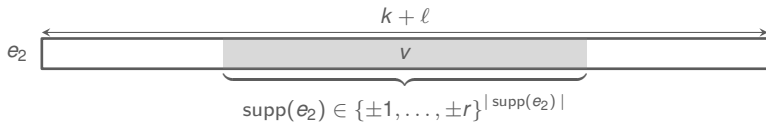
Given  $B \in (\mathbb{Z}/p^s\mathbb{Z})^{\ell \times (k+\ell)}$ ,  $s_2 \in (\mathbb{Z}/p^s\mathbb{Z})^\ell$  and  $v, t \in \mathbb{N}$   
 find  $e_2 \in (\mathbb{Z}/p^s\mathbb{Z})^{k+\ell}$  s.t.  $\text{wt}_L(e_2) = v$  and  $s_2 = e_2 B^\top$ .

### Main Idea and Difference

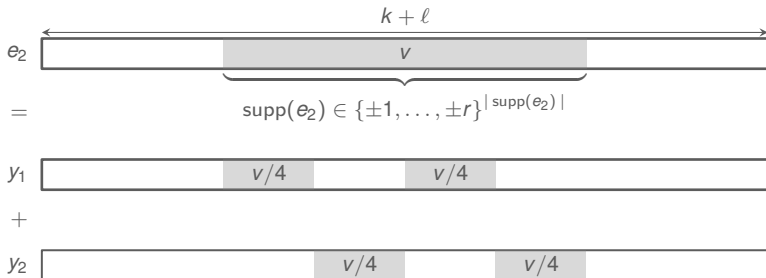
- Use the marginal distribution, i.e.,
  - for  $t/n < M/2$ , with high probability 0 is the most likely Lee weight in  $e$ , followed by the Lee weight 1 until the least likely Lee weight  $M$ .
  - for  $t/n > M/2$  the contrary is true
- With high probability the least probable entries of  $e$  lie **outside** the information set, hence are not in  $e_2$ .
- We will restrict  $e_2$  to live either in  $\{0, \pm 1, \dots, \pm r\}^{k+\ell}$  or in  $\{\pm r, \dots, \pm M\}^{k+\ell}$ , respectively.



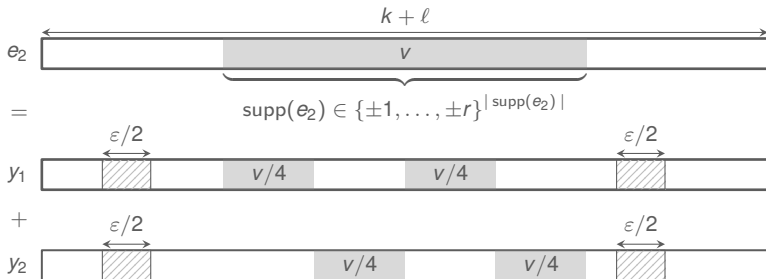
## Bounded Minimum Distance Decoding - Representation of $e_2$



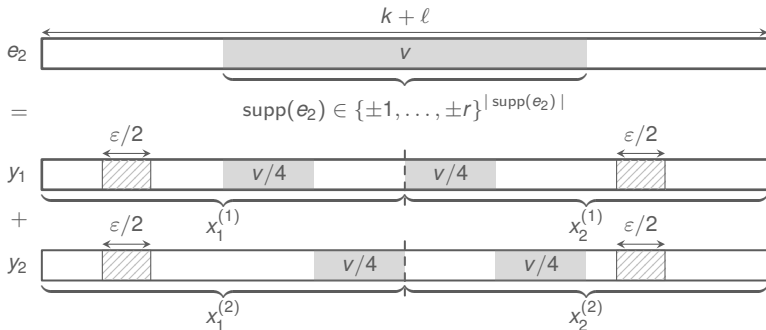
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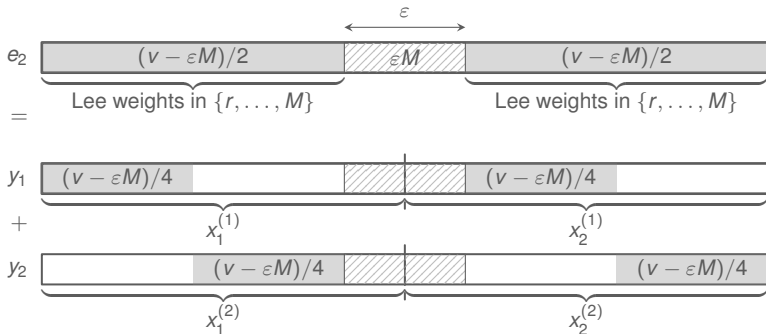
## Bounded Minimum Distance Decoding - Representation of $e_2$



$$B_i = \left\{ \nu(x) \mid x_{\mathcal{E}_i^c} \in \{0, \dots, \pm r\}^{(k+\ell-\varepsilon)/2}, \text{wt}_L(x_{\mathcal{E}_i}) = v/4, x_{\mathcal{E}_i} \in (\mathbb{Z}/p^s\mathbb{Z})^{\varepsilon/2}, \nu \in S_{(k+\ell)/2} \right\}$$



## Decoding Beyond the Minimum Distance



## Bounded Minimum Distance Decoding - BJMM Approach

Recall,  $s_2 = e_2 B^T$ , where  $e_2 = y_1 + y_2 = (x_1^{(1)}, x_2^{(1)}) + (x_1^{(2)}, x_2^{(2)})$ .





## Bounded Minimum Distance Decoding - BJMM Approach

Recall,  $s_2 = e_2 B^\top$ , where  $e_2 = y_1 + y_2 = (x_1^{(1)}, x_2^{(1)}) + (x_1^{(2)}, x_2^{(2)})$ .

1. Splitting  $B = (B_1 \ B_2)$ , for  $i = 1, 2$  concatenate all  $x_1^{(i)}, x_2^{(i)} \in \mathcal{B}_i$  satisfying

$$\begin{aligned}x_1^{(1)} B_1^\top &= u - x_2^{(1)} B_2^\top, \\x_1^{(2)} B_1^\top &= u - s_2 - x_2^{(2)} B_2^\top.\end{aligned}$$

They imply the syndrome equations for  $y_1$  and  $y_2$ , respectively.

$$y_1 B^\top = 0 \text{ and } y_2 B^\top = s_2$$



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  - a) the **smaller instance** is solved

$$s_2 = (y_1 + y_2) B^\top \quad \text{and} \quad \text{wt}_L(y_1 + y_2) = v,$$



## Bounded Minimum Distance Decoding - BJMM Approach

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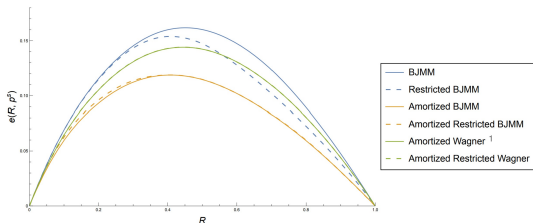
$$s_2 = (y_1 + y_2) B^\top \text{ and } \text{wt}_L(y_1 + y_2) = v,$$

- b) the original LSDP is fulfilled as well

$$\text{wt}_L(s_1 - (y_1 + y_2) A^\top) = t - v$$



## Comparison - Bounded Minimum Distance Decoding in $\mathbb{Z}/47\mathbb{Z}$



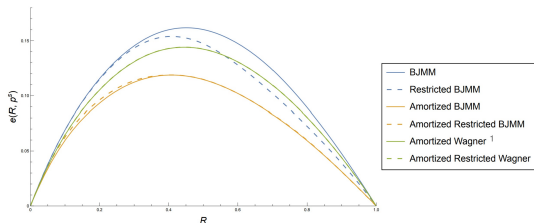
1

Algorithm	$e(R^*, p^S)$	$R^*$
Lee-BJMM	0.1618	0.451
Restricted Lee-BJMM for $r = 5$	0.1539	0.408
Amortized Lee-BJMM	0.1205	0.396
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<sup>1</sup> André Chailloux, Thomas Debris-Alazard, and Simona Etinski. "Classical and Quantum algorithms for generic Syndrome Decoding problems and applications to the Lee metric". In: *International Conference on Post-Quantum Cryptography*. Springer. 2021, pp. 44–62.



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1

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**Thank you for your attention!**

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# Frame Title

