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Institutskolloquium, KN-IColl

Decoding Performance of LDPC Codes over the Lee Channel

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joint work with Hannes Bartz, Gianluigi Liva
and Joachim Rosenthal



Knowledge for Tomorrow



Outline

- 1 Introduction
- 2 The Lee Channel
- 3 LDPC Codes in the Lee Channel: Performance Analysis



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Motivation

- Transmission of data over a noisy channel



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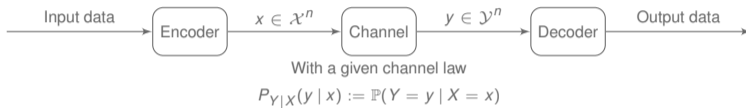
Motivation

- Transmission of data over a noisy channel
- Error correction/detection
- Fast encoding and decoding performance



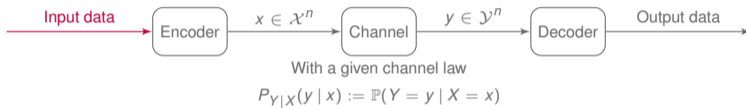
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Let \mathcal{X} and \mathcal{Y} the input and output alphabet of the channel, respectively.



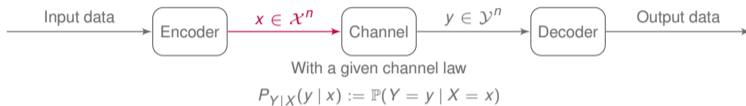
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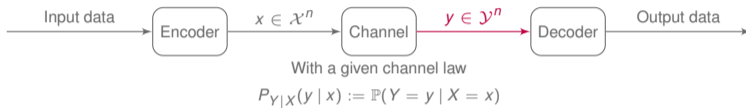
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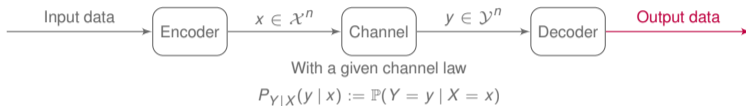
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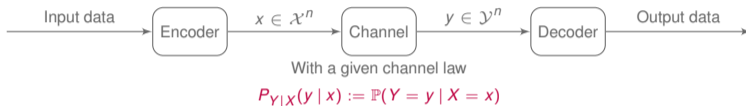
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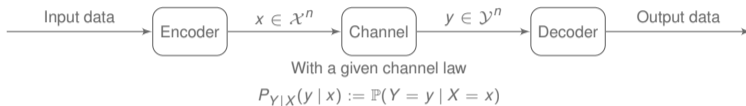
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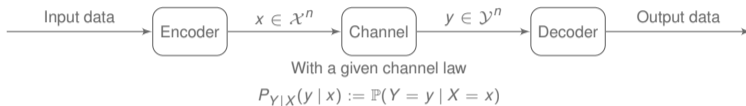
- Alphabets: $\mathcal{X} = \mathcal{Y} = \{0, 1, \dots, q-1\}$

Input	Output
0	0
1	1
\vdots	\vdots
$q-1$	$q-1$



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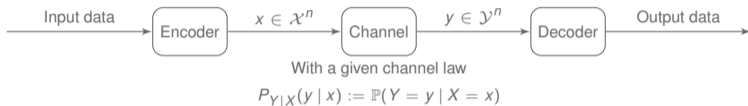
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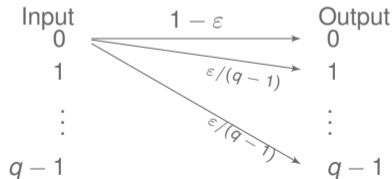
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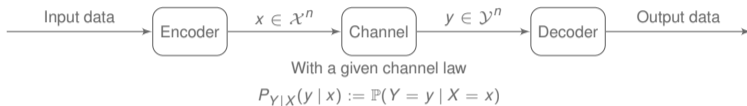
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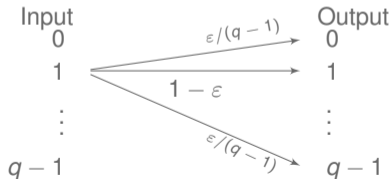
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The Hamming Weight

Let \mathbb{F}_q be a finite field of order q and let n be a positive integer. We will denote by \mathbb{Z}_q the ring of integers modulo q .



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For any two vectors $x, y \in \mathbb{F}_q^n$ we define

- the *Hamming weight* of x , $\text{wt}_H(x) = |\{i \in \{1, \dots, n\} \mid x_i \neq 0\}|$
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An $[n, k]_q$ -linear code \mathcal{C} can be represented by an $(n - k) \times n$ matrix H satisfying

$$\mathcal{C} = \ker(H) = \{x \in \mathbb{F}_q^n \mid Hx^T = 0\}.$$

We call H a *parity-check matrix* of \mathcal{C} .



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Assume we receive a vector $y = \underset{\text{original message}}{x} + \underset{\text{error vector}}{e}$.



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Is NP-hard for the Hamming- and the Lee metric.



The Lee Metric

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Definition [Lee weight]

For any integer $a \in \mathbb{Z}_q$ its *Lee weight* is defined as

$$\text{wt}_L(a) := \min(a, q - a) \quad (1)$$



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Example: Consider \mathbb{Z}_5 . The Lee weight of $a = 3$ is

$$\text{wt}_L(3) = \min(3, 5 - 3) = 2$$



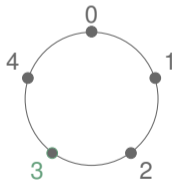
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The Lee weight of an element a describes also the minimal number of arcs separating a from 0.



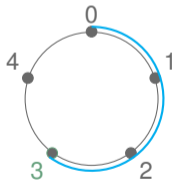
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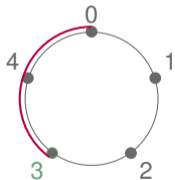
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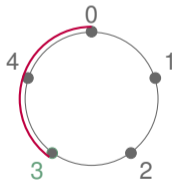
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$$\implies \text{wt}_L(3) = 2$$



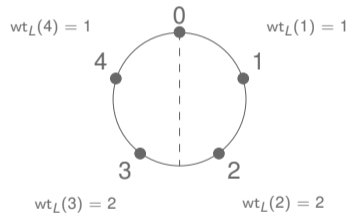
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Properties

For every $a \in \mathbb{Z}_q$ it holds:

- $\text{wt}_L(a) = \text{wt}_L(m - a)$

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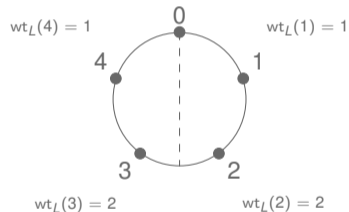
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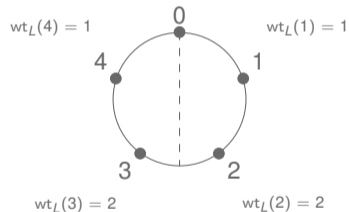
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- $wt_H(a) \leq wt_L(a)$
If $q \in \{2, 3\}$, the Lee weight is equivalent to the Hamming weight.

Example



The Lee Metric

Definition [Lee weight]

Let $x = (x_1, \dots, x_n) \in \mathbb{Z}_q^n$ be a vector of length n . The *Lee weight* of x is the sum of the Lee weight of its entries, i.e.,

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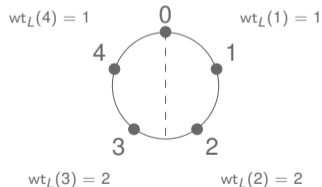
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Example:

Take again the ring of integers \mathbb{Z}_5

$$x = (0, 2, 4, 3, 0, 3)$$

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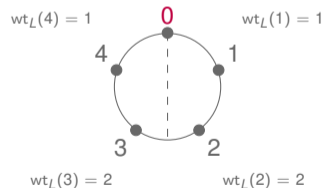
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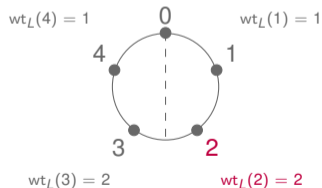
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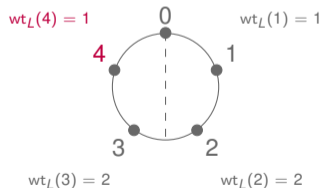
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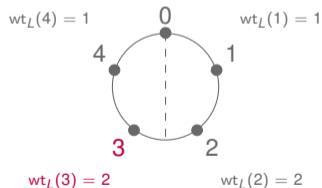
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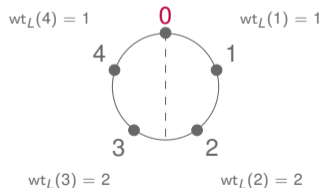
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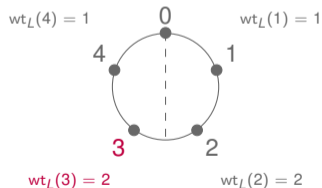
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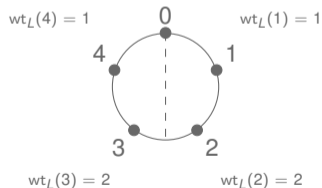
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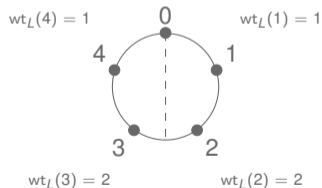
Example:

Take again the ring of integers \mathbb{Z}_5

$$x = (0, 2, 4, 3, 0, 3)$$

$$\text{wt}_L(x) = 0 + 2 + 1 + 2 + 0 + 2 = 7$$

$$\text{wt}_H(x) = 4$$



Interesting Problem

Consider the same example as before over \mathbb{Z}_5 .

Lee

Hamming



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$wt_L(x) = 7,$	$wt_H(x) = 4$



Interesting Problem

Consider the same example as before over \mathbb{Z}_5 .

$$\begin{aligned}x &= (0, 2, 4, 3, 0, 3) \\2x &= (0, 4, 3, 1, 0, 1)\end{aligned}$$

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Lee

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Why can decreasing the Lee weight be a problem?

Complexity of generic (or information-set) decoding depends on the weight of the error vector.

- The smaller this weight, the easier to find a solution.



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Asymptotically: The probability of decreasing the weight is negligible as the length grows large.



Why Lee Metric?

- Transmitting symbols over a nonbinary noisy channel
→ primarily those using phase-shift keying modulation

¹Anna-Lena Horlemann-Trautmann and Violetta Weger. "Information set decoding in the Lee metric with applications to cryptography". In: *arXiv preprint arXiv:1903.07692* (2019).

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 - ▶ ISD is NP-hard in the Lee Metric¹

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Why Lee Metric?

- Transmitting symbols over a nonbinary noisy channel
→ primarily those using phase-shift keying modulation
- Design code-based cryptosystems with reduced key sizes
- Used in magnetic and DNA storage systems.
- Recently: gained attention in cryptographic applications
 - ▶ ISD is NP-hard in the Lee Metric¹
 - ▶ Low-Lee-Density Parity-Check Codes were defined²

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Outline

- 1 Introduction
- 2 The Lee Channel**
- 3 LDPC Codes in the Lee Channel: Performance Analysis



The Lee Channel

Originally introduced by Chiang and Wolf³.

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Assume the alphabet is \mathbb{Z}_q .

Goal: Describe $\mathbb{P}(i|j) = \mathbb{P}(i - j | 0)$.

0

$-\lfloor q/2 \rfloor$

\vdots

-1

0

1

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The Lee Channel

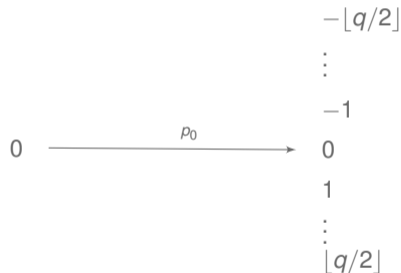
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$$p_i := \mathbb{P}(i | 0) = \mathbb{P}(-i | 0)$$



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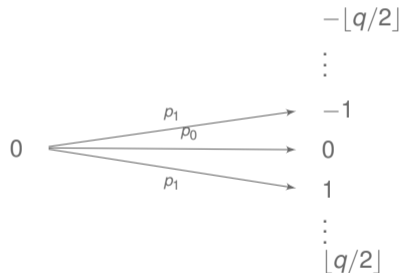
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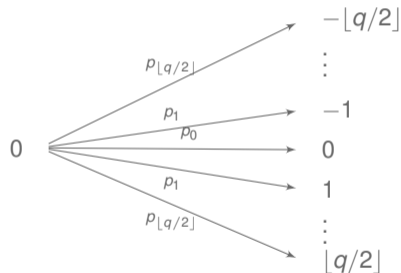
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The Lee Channel

Theorem [Chiang and Wolf]

The channel described before is strictly matched to the Lee metric for maximum likelihood decoding if and only if the following two properties hold.

$$p_0 > p_1 \quad \text{and} \quad p_i = \frac{p_1^i}{p_0^{i-1}} \quad \text{for all } i = 2, \dots, \lfloor q/2 \rfloor.$$



The Lee Channel

For $y, x, e \in \mathbb{Z}_q$, consider a discrete memoryless channel (DMC)

$$\underset{\text{channel output}}{y} = \underset{\text{channel input}}{x} + \underset{\text{additive error term}}{e} \quad (3)$$



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with channel law

$$\mathbb{P}(Y = y | X = x) =: P_{Y|X}(y|x) = \frac{1}{Z} \exp(-\lambda d_L(x, y)), \quad (4)$$

where $Z := \sum_{e=0}^{q-1} \exp(-\lambda \text{wt}_L(e))$ and $\lambda > 0$.



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Note

- The channel defined in (4) is the DMC matched to the Lee metric.
- The conditional distribution (4) arises (in the limit of large n) as the marginal distribution of a constant-weight Lee channel.



The Constant-Weight Lee Channel

Let $y, x, e \in \mathbb{Z}_q^n$, where $\text{wt}_L(e) = t$ for some fixed positive integer t . Consider again

$$y = x + e.$$



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Note

The error vector e is chosen uniformly at random from the set of all length- n vectors of Lee weight t :

$$\mathcal{S}_t^n := \{x \mid x \in \mathbb{Z}_q^n, \text{wt}_L(x) = t\}.$$



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Question

What would $P_{Y|X}(y|x)$ look like?

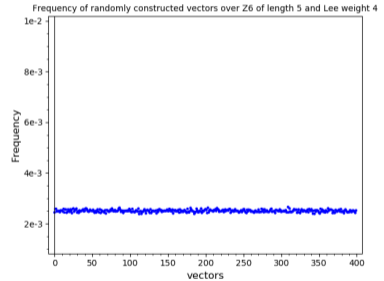


Channel Distribution

Theorem

Let $e \in \mathbb{Z}_q^n$ with $\text{wt}_L(x) = t$ be the error term from before. Then it holds

- i. With our algorithm e is drawn uniformly from the set of all length- n vectors of Lee weight t over \mathbb{Z}_q .



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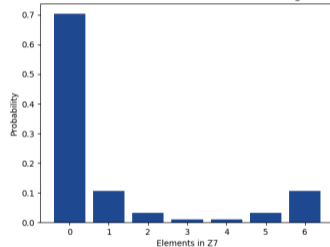
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- i. With our algorithm e is drawn uniformly from the set of all length- n vectors of Lee weight t over \mathbb{Z}_q .
- ii. Every entry e_i has the following probability

$$\mathbb{P}(e_i = j) = \kappa \exp(-\lambda \text{wt}_L(j)),$$

where $\kappa = \sum_{k=0}^{m-1} \exp(-\lambda \text{wt}_L(k))$ and $j \in \mathbb{Z}_q$.

Distribution of elements in \mathbb{Z}_7 for vectors of length $n = 10$



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LDPC Codes over Finite Integer Rings

According to Sridhara and Fuja

Definition [LDPC Code]

An $[n, k]_q$ LDPC code over \mathbb{Z}_q is defined by a sparse parity-check matrix H , whose nonzero entries lie in the set of units \mathbb{Z}_q^\times .



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Can be described by a bipartite graph \mathcal{G} consisting of

- variable nodes (VN) $\{v_1, \dots, v_n\} \rightarrow$ columns of H .
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VN v_j is connected to CN c_i if and only if $h_{ij} \neq 0$.



LDPC Codes over Finite Integer Rings

Example

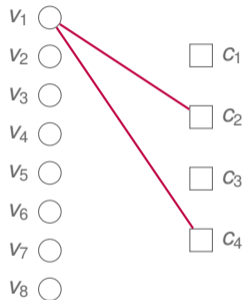
$$H = \begin{bmatrix} 0 & 1 & 0 & 2 & 4 & 0 & 0 & 1 \\ 1 & 2 & 1 & 0 & 0 & 4 & 0 & 0 \\ 0 & 0 & 2 & 0 & 0 & 1 & 3 & 1 \\ 1 & 0 & 0 & 1 & 4 & 0 & 1 & 0 \end{bmatrix} \in \mathbb{Z}_5^{4 \times 8}$$

 v_1 ○ v_2 ○ v_3 ○ v_4 ○ v_5 ○ v_6 ○ v_7 ○ v_8 ○ c_1 c_2 c_3 c_4 

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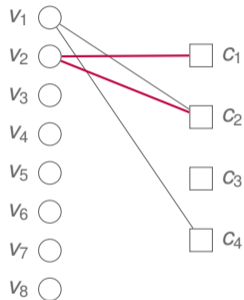
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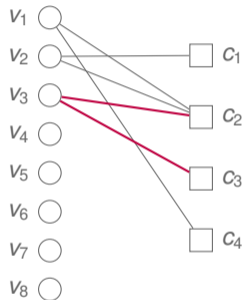
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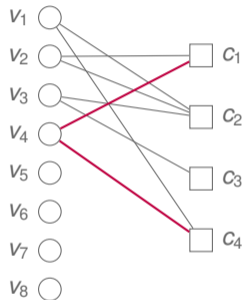
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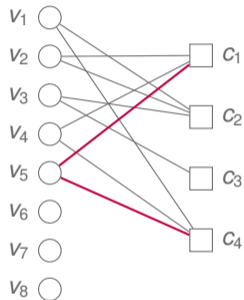
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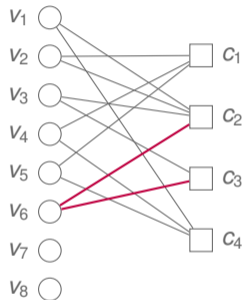
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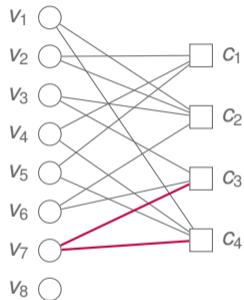
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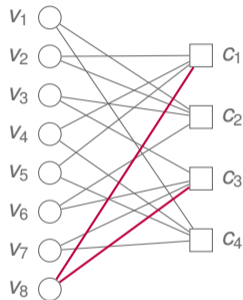
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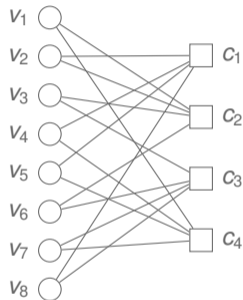
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An LDPC code is (k, ℓ) -regular, if every VN connects to k CNs and every CN connects to ℓ VNs, for some fixed positive integer k and ℓ .



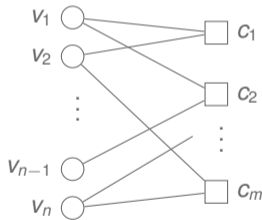
Symbol Message Passing

Consider a nonbinary LDPC code \mathcal{C} with VNs $\{v_1, \dots, v_n\}$ and CNs $\{c_1, \dots, c_m\}$ and parity-check matrix H . Denote by $\mathcal{N}(v_j)$ and $\mathcal{N}(c_i)$ the set of all connecting elements to VN v_j and CN c_i , respectively.



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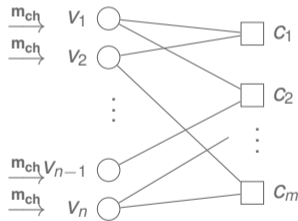
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Every VN v receives the channel observation
 $\mathbf{m}_{\text{ch}} := (P_{Y|X}(y | 0), \dots, P_{Y|X}(y | q - 1))$

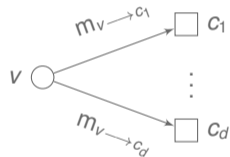


Symbol Message Passing

Initialization.

Each VN v sends channel observation to the neighboring CNs $c \in \mathcal{N}(v)$

$$m_{v \rightarrow c} = \mathbf{m}_{\text{ch}}.$$



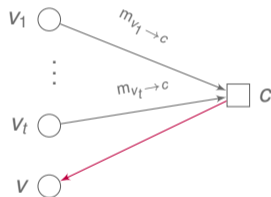
Symbol Message Passing

CN-to-VN step.

Each CN computes for every $v \in \mathcal{N}(c)$

$$m_{c \rightarrow v} = h_{c,v}^{-1} \sum_{v' \in \mathcal{N}(c) \setminus \{v\}} h_{c,v'} m_{v' \rightarrow c}.$$

Note: $h_{c,v}^{-1}$ exists, since we said the nonzero entries of H are units.



Symbol Message Passing

VN-to-CN step.

Define the aggregated extrinsic L -vector

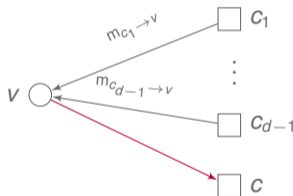
$$E = L(y) + \sum_{c' \in \mathcal{N}(v) \setminus \{c\}} L(m_{c' \rightarrow v}),$$

where y is the channel output and $L(y) = (L_0(y), \dots, L_{q-1}(y))$ with $L_x(y) = \log(P_{Y|X}(y | x))$.

Note: We assume the CN-to-VN messages are modelled as a q SC.

Then the VN-to-CN messages are

$$m_{v \rightarrow c} = \arg \max_{x \in \mathbb{Z}_q} E_x.$$



Symbol Message Passing

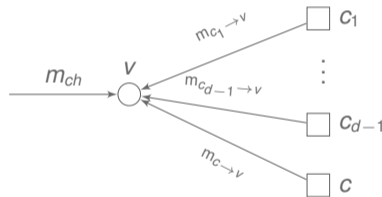
Final decision.

The final decision at each VN v is

$$\hat{x} = \arg \max_{x \in \mathbb{Z}_q} L_x^{\text{FIN}}$$

where

$$L^{\text{FIN}} = L(m_{\text{ch}}) + \sum_{c \in \mathcal{N}(v)} L(m_{c \rightarrow v}).$$



The q SC-Assumption for SMP

Motivation for the q SC assumption in the extrinsic channel

- Assumption is true for **finite fields** (i.e. \mathbb{Z}_q with q a prime)



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- Argument is *independent* of the channel law and hence also valid for the Lee channel.

If q is not a prime:

- The approximation is especially accurate when \mathbb{Z}_q consists of many units.
- Decoding becomes particularly simple.



Simulations

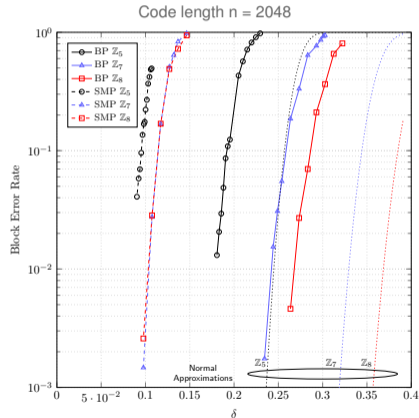
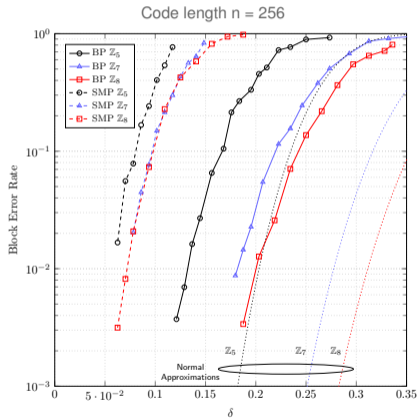
Decoding performance for both BP and SMP over both the Lee channel and the constant-weight Lee channel using

- (3, 6) regular nonbinary LDPC codes of length 256 and 2048,
- For the constant-weight Lee channel, the error vectors are drawn uniformly at random from the set of vectors with a given weight.



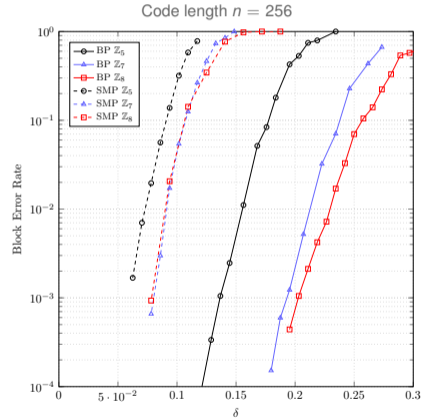
Simulations

Block error rate vs. average Lee weight δ for regular (3, 6) nonbinary LDPC codes in the Lee channel for BP and SMP decoding.



Simulations

Block error rate vs. average Lee weight δ for regular (3, 6) nonbinary LDPC codes in the **constant-weight Lee channel** for BP and SMP decoding.



Simulations

Block error rate vs. average Lee weight δ for regular (3, 6) nonbinary LDPC codes in the **constant-weight Lee channel** for BP and SMP decoding.

Thank you very much for your attention!

