

Bounds on the Minimum Lee Distance

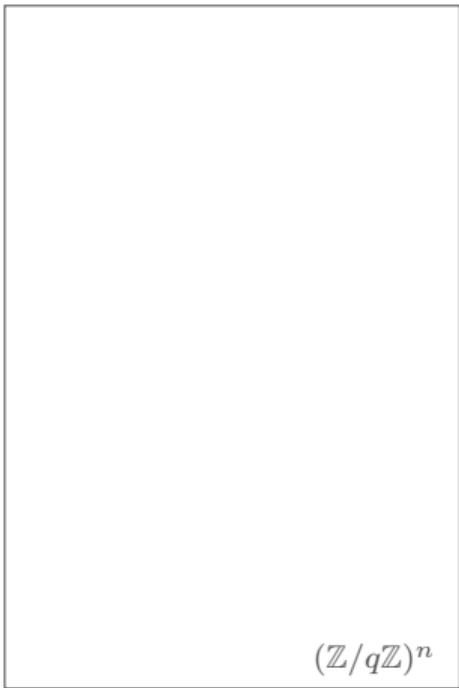
KN Institutskolloquium

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joint work with Violetta Weger (TUM)

23.10.2023

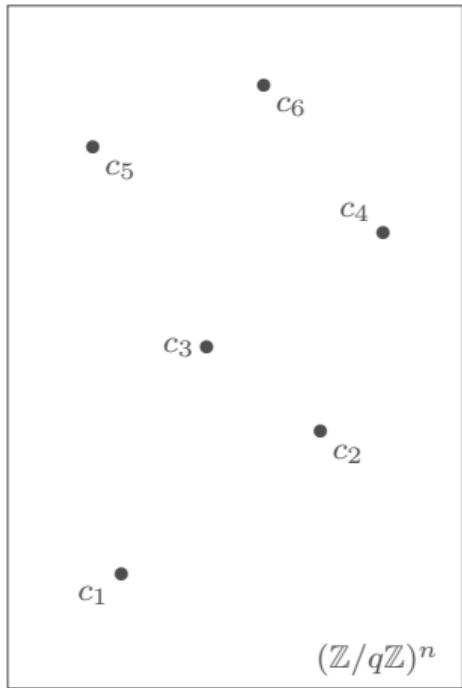




Work over a q -ary alphabet $\mathbb{Z}/q\mathbb{Z}$, where $q = p^s$ a prime power.

$$(\mathbb{Z}/q\mathbb{Z})^n$$

Motivation

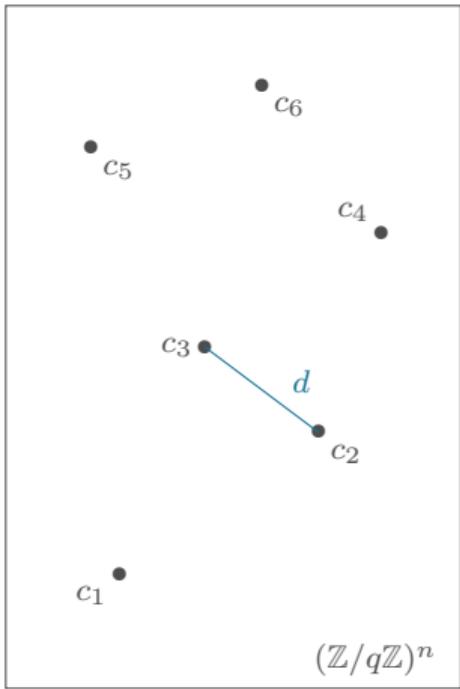


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Code: $\mathcal{C} \subseteq (\mathbb{Z}/q\mathbb{Z})^n$ k -dimensional submodule

- length n
- type k ($\mathbb{Z}/q\mathbb{Z}$ -dimension)
- codewords $c_i \in \mathcal{C}$ for $i = 1, \dots, q^k$

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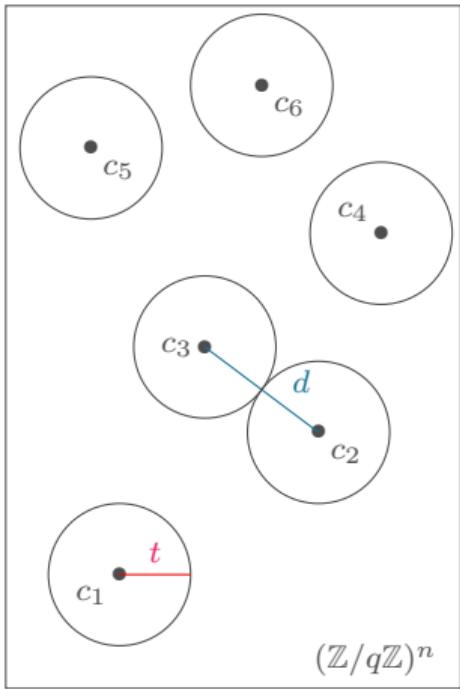


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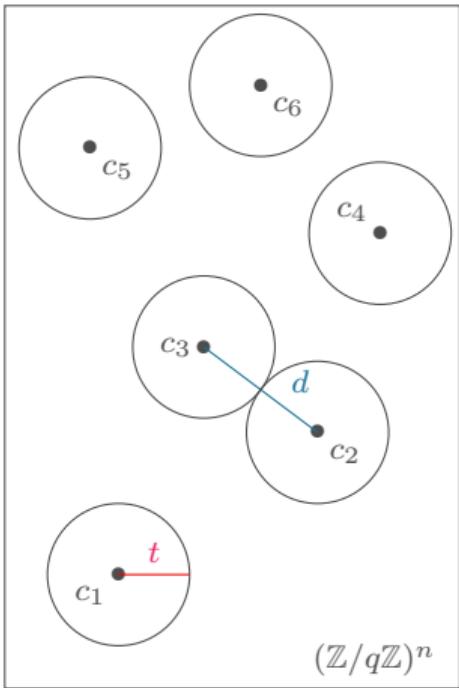


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One of the main tasks: find tight bounds on the minimum distance

Outline



1. Singleton-like Bounds for Codes over Rings
2. Generalized Weights in the Hamming Metric
3. Lee Supports and Generalized Weights
4. Generalized Lee Distances

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Consider a code $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ as a $\mathbb{Z}/p^s\mathbb{Z}$ -submodule of $(\mathbb{Z}/p^s\mathbb{Z})^n$.

- $\mathcal{C} \cong (\mathbb{Z}/p^s\mathbb{Z})^{k_0} \times (\mathbb{Z}/p^{s-1}\mathbb{Z})^{k_1} \times \dots \times (\mathbb{Z}/p\mathbb{Z})^{k_{s-1}}$

We call (k_0, \dots, k_{s-1}) the *subtype* of \mathcal{C} .

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We call (k_0, \dots, k_{s-1}) the *subtype* of \mathcal{C} .

- Generator matrix in systematic form

$$G_{\text{sys}} = \begin{pmatrix} \mathbb{I}_{k_0} & A_{1,2} & A_{1,3} & \cdots & A_{1,s} & A_{1,s+1} \\ 0 & p\mathbb{I}_{k_1} & pA_{2,3} & \cdots & pA_{2,s} & pA_{2,s+1} \\ 0 & 0 & p^2\mathbb{I}_{k_2} & \cdots & p^2A_{3,s} & p^2A_{3,s+1} \\ \vdots & \vdots & \vdots & & \vdots & \vdots \\ 0 & 0 & 0 & \cdots & p^{s-1}\mathbb{I}_{k_{s-1}} & p^{s-1}A_{s,s+1} \end{pmatrix}$$

- The *rank* K of a code \mathcal{C} is defined by $K := \sum_{i=0}^{s-1} k_i$.

Ring-Linear Codes

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Example over $\mathbb{Z}/9\mathbb{Z} = \mathbb{Z}/3^2\mathbb{Z}$

- Subtype $(k_0, k_1) = (3, 0)$

$$G_{\text{sys}} = \begin{pmatrix} 1 & 0 & 0 & 3 & 7 \\ 0 & 1 & 0 & 2 & 0 \\ 0 & 0 & 1 & 1 & 4 \end{pmatrix}$$

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- Subtype $(k_0, k_1) = (1, 2)$

$$G_{\text{sys}} = \begin{pmatrix} 1 & 5 & 4 & 3 & 7 \\ 0 & 3 & 0 & 6 & 6 \\ 0 & 0 & 3 & 0 & 3 \end{pmatrix}$$

Hamming Weight

Counts 1 if a symbol is nonzero, and 0 else.

For $x \in (\mathbb{Z}/q\mathbb{Z})^n$:

$$\text{wt}_H(x) := |\{i = 1, \dots, n \mid x_i \neq 0\}|$$

Lee Weight

For $a \in \mathbb{Z}/q\mathbb{Z}$: $\text{wt}_L(a) = \min \{a, |q - a|\}$.

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Example

Consider $x = (0, 1, 2, 3, 4, 5, 6) \in (\mathbb{Z}/7\mathbb{Z})^7$

$$\text{wt}_H(x) = 0 + 1 + 1 + 1 + 1 + 1 + 1 = 6$$

$$\text{wt}_L(x) = 0 + 1 + 2 + 3 + 3 + 2 + 1 = 12$$

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The *Hamming-* and *Lee distance* between two tuples $x, y \in \mathbb{Z}/p^s\mathbb{Z}$ is given by weight of their difference, i.e.,

$$d_H(x, y) := \text{wt}_H(x - y) \quad \text{and} \quad d_L(x, y) := \text{wt}_L(x - y).$$

The Singleton Bound Hamming Metric



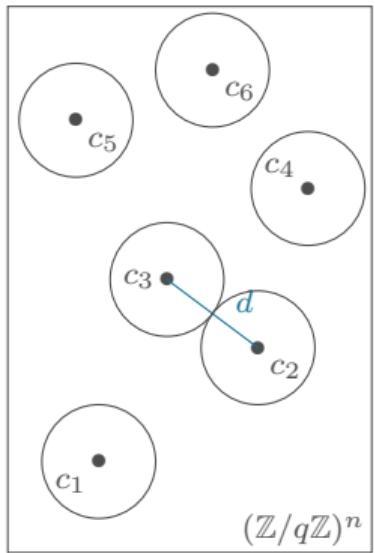
Given a code $\mathcal{C} \subseteq (\mathbb{Z}/q\mathbb{Z})^n$ of dimension k and minimum distance $d_H(\mathcal{C}) = d$, then

$$d \leq n - k + 1.$$

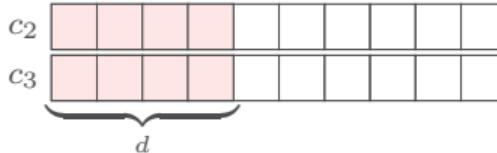
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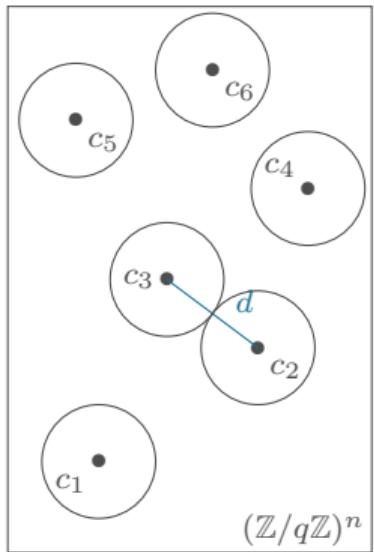


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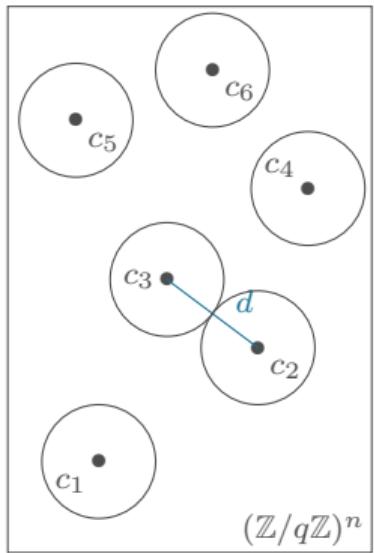
- All codewords are at least at distance d from each other.
- Delete $d - 1$ positions

c_2	■	■	■	■	■	X	X	X	■	■
c_3	■	■	■	■	■	X	X	X	■	■

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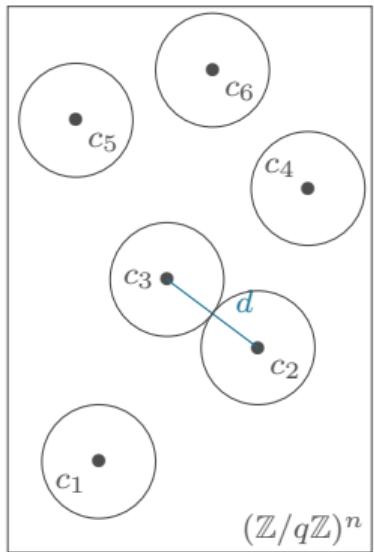
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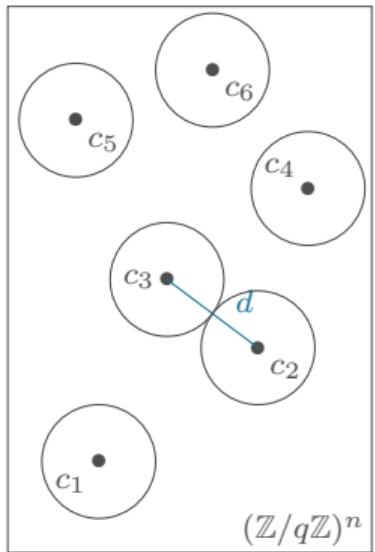
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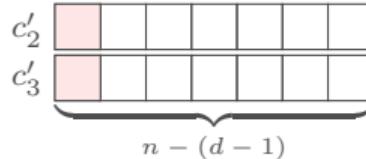
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- Get new code \mathcal{C}' with shortened codewords c'_i
 \implies length $n - (d - 1)$ and $|\mathcal{C}'| = q^k$.

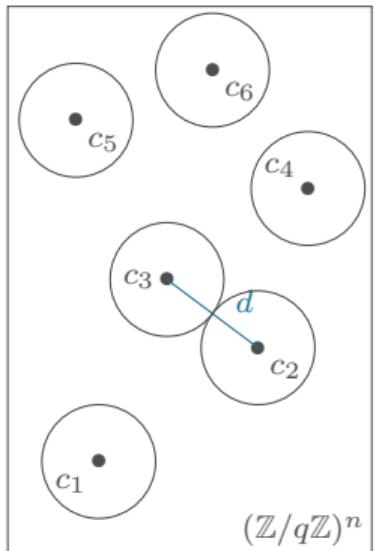


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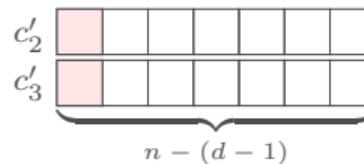
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- Delete $d - 1$ positions \Rightarrow at least one difference remains
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 \Rightarrow length $n - (d - 1)$ and $|\mathcal{C}'| = q^k$.



- for codewords of length $n - (d - 1)$ we can only have at most $q^{n-(d-1)}$ distinct many.
 $\Rightarrow q^k \leq q^{n-(d-1)}$

Shiromoto (2000)

Let $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ be a linear code of type k and define the maximal Lee weight $M := \lfloor p^s/2 \rfloor$. Then

$$\left\lfloor \frac{d_L(\mathcal{C}) - 1}{M} \right\rfloor \leq n - k.$$

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Example Let $\mathcal{C} \subseteq (\mathbb{Z}/27\mathbb{Z})^5$ be the code generated by $G = \begin{pmatrix} 1 & 10 & 4 & 20 & 9 \\ 0 & 3 & 9 & 18 & 9 \end{pmatrix}$.

Shiromoto: $d_L(\mathcal{C}) \leq 40$

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Problem Only one nontrivial codes attains this bound, namely $\mathcal{C} = \langle (1, 2) \rangle \subset (\mathbb{Z}/5\mathbb{Z})^2$.

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Alderson and Hunteman (2013)

Let $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ be a linear code of type k , where $1 \leq k \leq n$ is a positive integer and $M := \lfloor p^s/2 \rfloor$. Then

$$d_L(\mathcal{C}) \leq M(n - k).$$

Problem Codes attaining this bound are still sparse. The bound holds only for an integer type k .

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Need new techniques for the Lee metric!

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Hamming Support of a Vector



Consider a finite integer residue ring $\mathbb{Z}/q\mathbb{Z}$ and a vector $x \in (\mathbb{Z}/q\mathbb{Z})^n$.

- Support of x as set of nonzero indices

$$\text{supp}_H(x) = \{i \in \{1, \dots, n\} \mid x_i \neq 0\}.$$

Note: $|\text{supp}_H(x)| = \text{wt}_H(x)$.

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Example over $\mathbb{Z}/5\mathbb{Z}$

Let $x = (1, 4, 2, 0, 2, 0, 3)$ with $\text{wt}_H(x) = 5$

- As index set:

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- Support of x as tuple of weights

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Note:

$$\text{wt}_{\mathsf{H}}(x) = \sum_{i=1}^n \text{supp}_{\mathsf{H}}(x)_i =: |\text{supp}_{\mathsf{H}}(x)|$$

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$$|\text{supp}_{\mathsf{H}}(x)| = 5 = \text{wt}_{\mathsf{H}}(x)$$

- As tuple:

$$\text{supp}_{\mathsf{H}}(x) = (1, 1, 1, 0, 1, 0, 1)$$

$$|\text{supp}_{\mathsf{H}}(x)| = 5 = \text{wt}_{\mathsf{H}}(x)$$

Hamming Support of a Code



Consider a code $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$.

- Index set:
 $\text{supp}_{\mathsf{H}}(\mathcal{C}) := \{i \in \{1, \dots, n\} \mid \exists c \in \mathcal{C}, c_i \neq 0\}$ and $\text{wt}_{\mathsf{H}}(\mathcal{C}) := |\text{supp}_{\mathsf{H}}(\mathcal{C})|$.

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- As tuple:

$$\text{supp}_{\text{join}}(\mathcal{C}) := (\max\{\text{wt}(c_1) \mid c \in \mathcal{C}\}, \dots, \max\{\text{wt}(c_n) \mid c \in \mathcal{C}\})$$

$$\text{supp}_{\text{meet}}(\mathcal{C}) := \left(\min_{c \in \mathcal{C}} \{\max\{\text{wt}(c_1), 0\}, \dots, \min_{c \in \mathcal{C}} \{\max\{\text{wt}(c_n), 0\}\} \right)$$

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Hamming Support of a Code



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Note the Hamming weight of a code coincides in all three point of views.

Let $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ be a linear code of dimension k . Then for any $r \in \{1, \dots, k\}$ the r -th generalized weight is given by

$$d^r(\mathcal{C}) := \min\{\text{wt}_{\mathsf{H}}(\mathcal{D}) \mid \mathcal{D} \leq \mathcal{C} \text{ and } \dim(\mathcal{D}) = r\}.$$

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Example

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$$\begin{aligned} d_{\mathsf{H}}^1(\mathcal{C}) : & \text{ minimum weight of a subcode generated by one nonzero codeword} \\ \mathcal{D}_1 = \langle (1, 1, 0, 0) \rangle, & \quad \mathcal{D}_2 = \langle (0, 1, 1, 0) \rangle \quad \dots \quad \mathcal{D}_7 = \langle (1, 1, 1, 1) \rangle \\ \text{wt}_{\mathsf{H}}(\mathcal{D}_1) = 2 & \quad \text{wt}_{\mathsf{H}}(\mathcal{D}_2) = 2 \quad \dots \quad \text{wt}_{\mathsf{H}}(\mathcal{D}_7) = 4 \end{aligned}$$

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$d_{\mathsf{H}}^1(\mathcal{C})$: minimum weight of a subcode generated by **one** nonzero codeword
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$d_{\mathsf{H}}^2(\mathcal{C})$: minimum weight of a subcode generated by **two** independent codewords

$$\mathcal{D}_1 = \left\langle \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 1 & 1 & 0 \end{pmatrix} \right\rangle, \quad \mathcal{D}_2 = \left\langle \begin{pmatrix} 1 & 1 & 0 & 0 \\ 0 & 0 & 1 & 1 \end{pmatrix} \right\rangle, \dots,$$

$$\text{wt}_{\mathsf{H}}(\mathcal{D}_1) = 3 \quad \text{wt}_{\mathsf{H}}(\mathcal{D}_2) = 4, \dots$$

Let $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ be a linear code of dimension k . Then for any $r \in \{1, \dots, k\}$ the r -th generalized weight is given by

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$$d_H^1(\mathcal{C}) = 2 \quad d_H^2(\mathcal{C}) = 3 \quad d_H^3(\mathcal{C}) = 4$$

Let \mathcal{C} be a linear code of dimension k . Then we have

$$d_H(\mathcal{C}) = d_H^1(\mathcal{C}) < d_H^2(\mathcal{C}) < \dots < d_H^k(\mathcal{C}) = \text{wt}_H(\mathcal{C}).$$

Note: Then we can derive

$$d_H(\mathcal{C}) \leq \text{wt}_H(\mathcal{C}) - (k - 1) \stackrel{\text{non-degenerate}}{=} n - k + 1.$$

Outline



1. Singleton-like Bounds for Codes over Rings
2. Generalized Weights in the Hamming Metric
3. Lee Supports and Generalized Weights
4. Generalized Lee Distances

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Problem Does not satisfy the desired properties!

Example Consider $\mathcal{C} = \langle (1, 2) \rangle \subset (\mathbb{Z}/9\mathbb{Z})^2$ with minimum distance $d_L(\mathcal{C}) = 3$.

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Hence, $\text{supp}_{L,\text{meet}}(\mathcal{C}) = (1, 1) \implies d_{L,\text{meet}}^1(\mathcal{C}) = 2 < d_L(\mathcal{C}) = 3$. We wanted $d_L(\mathcal{C}) = d_{L,\text{meet}}^1(\mathcal{C})$.

$$\text{supp}_{\text{L,join}}(\mathcal{C}) := (\max\{\text{wt}_{\text{L}}(c_1) \mid c \in \mathcal{C}\}, \dots, \max\{\text{wt}_{\text{L}}(c_n) \mid c \in \mathcal{C}\})$$

Increasing Property

$$d_{\text{L}}(\mathcal{C}) \leq d_{\text{L,join}}^1(\mathcal{C}) < d_{\text{L,join}}^2(\mathcal{C}) < \dots < d_{\text{L,join}}^K(\mathcal{C}) \leq \text{wt}_{\text{L,join}}(\mathcal{C})$$

Bound on the Minimum Distance

$$d_{\text{L}}(\mathcal{C}) \leq \left\lfloor \frac{p}{2} \right\rfloor p^{s-1}(n - K + 1)$$

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$$d_{\text{L}}(\mathcal{C}) \leq \left\lfloor \frac{p}{2} \right\rfloor p^{s-1}(n - K + 1)$$

- Most of the times better than Shiromoto's bound (at least less sparse).
- Can only be attained for $p = 3$.
- Have to go through all the codewords.

Column Support



Support on matrices $A = (a_1^\top, \dots, a_n^\top)$ where $a_i \in (\mathbb{Z}/p^s\mathbb{Z})^m$.

$$\text{supp}_{\text{col}}(A) := (\max\{\text{supp}(a_1)\}, \dots, \max\{\text{supp}(a_n)\})$$

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To derive a bound on the minimum Lee distance of a code $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ of rank K , we need

- to know the subtype (k_0, \dots, k_{s-1}) **and** the subtype $(\mu_0, \dots, \mu_{s-1})$ of the last $n - K$ columns of a generator matrix.
- $\sigma := \max \{i \in \{0, \dots, s-1\} \mid k_i \neq 0\}$

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Bound on the Minimum Distance

$$d_L(\mathcal{C}) \leq \sum_{i=0}^{s-1} p^i k_i + \sum_{i=0}^{s-1} \mu_i M_i - \left[\sum_{i=0}^{\sigma-1} \left(\sum_{j=0}^i k_j \right) \lfloor p/2 \rfloor p^i + (k_\sigma - 1)p^\sigma \right].$$

Support on matrices $A = (a_1^\top, \dots, a_n^\top)$ where $a_i \in (\mathbb{Z}/p^s\mathbb{Z})^m$.

$$\text{supp}_{\text{col}}(A) := (\max\{\text{supp}(a_1)\}, \dots, \max\{\text{supp}(a_n)\})$$

$$\text{supp}_{\text{col}}(\mathcal{C}) := \min\{\text{supp}_{\text{col}}(G) \mid \langle G \rangle = \mathcal{C}\}$$

$$d_{\text{col}}^r(\mathcal{C}) := \min\{|\text{supp}_{\text{col}}(\mathcal{D})| \mid \mathcal{D} \leq \mathcal{C}, \text{rk}(\mathcal{D}) = r\}, \text{ for } r = 1, \dots, K$$

NEW! Based on the generator matrices of a subcode of rank r .

To derive a bound on the minimum Lee distance of a code $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ of rank K , we need

- to know the subtype (k_0, \dots, k_{s-1}) and the subtype $(\mu_0, \dots, \mu_{s-1})$ of the last $n - K$ columns of a generator matrix.
- $\sigma := \max \{i \in \{0, \dots, s-1\} \mid k_i \neq 0\}$

Bound on the Minimum Distance

$$d_L(\mathcal{C}) \leq \sum_{i=0}^{s-1} p^i k_i + \sum_{i=0}^{s-1} \mu_i M_i - \left[\sum_{i=0}^{\sigma-1} \left(\sum_{j=0}^i k_j \right) \lfloor p/2 \rfloor p^i + (k_\sigma - 1)p^\sigma \right].$$

Problem Still sparse and hard to control subcodes of smaller ranks

Outline



1. Singleton-like Bounds for Codes over Rings
2. Generalized Weights in the Hamming Metric
3. Lee Supports and Generalized Weights
4. Generalized Lee Distances

Consider a code $\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ of rank K . Define for each $i = 0, \dots, s-1$ the i -th filtration of \mathcal{C} as

$$\mathcal{C}_i := \mathcal{C} \cap \langle p^i \rangle.$$

The maximum Lee weight in each filtration is $M_i := \left\lfloor \frac{p^{s-i}}{2} \right\rfloor p^i$

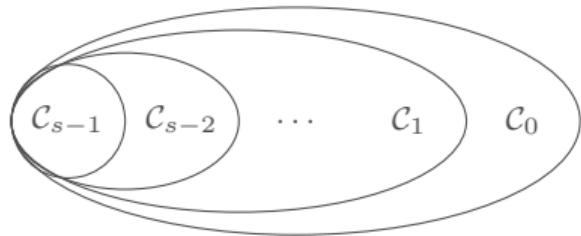
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- natural chain of inclusions:

$$\mathcal{C}_{s-1} \subseteq \mathcal{C}_{s-2} \subseteq \dots \subseteq \mathcal{C}_1 \subset \mathcal{C}_0 = \mathcal{C}$$



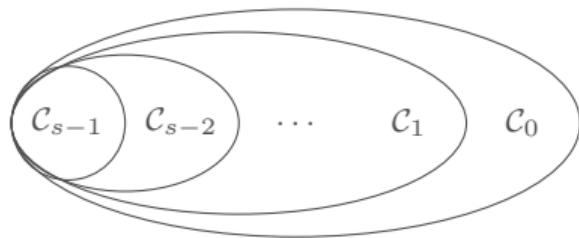
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- chain of minimum distances: $d_L(\mathcal{C}) = d_L(\mathcal{C}_0) \leq d_L(\mathcal{C}_1) \leq \dots \leq d_L(\mathcal{C}_{s-1})$

For every $r = 1, \dots, s$, define the r -th *generalized Lee distance* as

$$d_L^r(\mathcal{C}) = d_L(\mathcal{C}_{r-1}).$$

Example over $\mathbb{Z}/27\mathbb{Z}$

Consider a code $\mathcal{C} \subseteq (\mathbb{Z}/27\mathbb{Z})^4$ of subtype $(1, 1, 1)$ with generator matrix

$$G = \begin{pmatrix} 1 & 2 & 14 & 0 \\ 0 & 3 & 6 & 15 \\ 0 & 0 & 9 & 9 \end{pmatrix}$$

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Properties

- $d_L(\mathcal{C}) = d_L^1(\mathcal{C}) \leq d_L^2(\mathcal{C}) \leq \dots \leq d_L^s(\mathcal{C})$
- $d_L^r(\mathcal{C}) \leq p^{r-1} + (n-k)M_{r-1}$ for every $r \in \{\sigma + 1, \dots, s\}$.

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Defining more Parameters

Example Let $\mathcal{C} \subseteq (\mathbb{Z}/27\mathbb{Z})^5$ be the code of subtype $(1, 1, 0)$ and $d_L(\mathcal{C}) = 9$, generated by

$$G_0 = \begin{pmatrix} 1 & 1 & 4 & 20 & 9 \\ 0 & 3 & 9 & 18 & 9 \end{pmatrix}.$$

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Computing the generator matrices of the filtrations from G_0 :

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$\mathcal{C} \subseteq (\mathbb{Z}/p^s\mathbb{Z})^n$ of subtype $(k_0, \dots, k_\sigma, 0, \dots)$

ℓ_i : maximum prime power in each row i and

n' : maximum number of appearances in each row of this prime number.

$$d_L(\mathcal{C}) \leq \begin{cases} p^{s-\ell+\sigma} + (n - K - n') M_{s-\ell+\sigma} & \text{if } \ell \geq 1, \\ p^\sigma + (n - K) M_\sigma & \text{else.} \end{cases}$$

Examples



$\mathcal{C} = \langle G \rangle \subseteq (\mathbb{Z}/27\mathbb{Z})^5$ with $d_L(\mathcal{C}) = 9$

$$G = \begin{pmatrix} 1 & 1 & 4 & 20 & 9 \\ 0 & 3 & 9 & 18 & 9 \end{pmatrix}$$

- Shiromoto: $d_L(\mathcal{C}) \leq 40$
- Join Support: $d_L(\mathcal{C}) \leq 36$
- Column Supp: $d_L(\mathcal{C}) \leq 38$
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$\mathcal{C} = \langle G \rangle \subseteq (\mathbb{Z}/125\mathbb{Z})^6$ with $d_L(\mathcal{C}) = 5$

$$G = \begin{pmatrix} 1 & 0 & 25 & 50 & 75 & 100 \\ 0 & 1 & 2 & 3 & 4 & 5 \end{pmatrix}$$

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- Shiromoto: $d_L(\mathcal{C}) \leq 249$
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Thank you for your attention!