

## How to Sign using Restricted Errors

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Doctoral Seminar  
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# Motivation

2016 NIST standardization call for post-quantum PKE/KEM and signatures

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- PKE/KEM: 1 lattice-based, round 4: 3 code-based
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- Paolo's talk: 40 submissions, 5 code-based, 7 MPC-in-the-head

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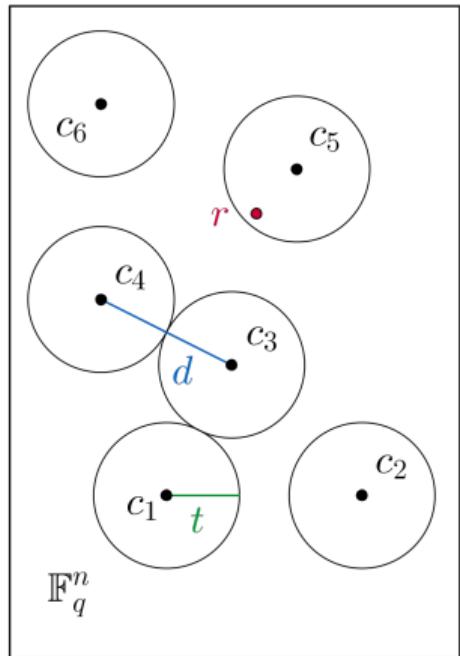
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# Coding Theory



## Set Up

- *Code  $\mathcal{C} \subseteq \mathbb{F}_q^n$  linear  $k$ -dimensional subspace*
- *$c \in \mathcal{C}$  codeword*
- *$G \in \mathbb{F}_q^{k \times n}$  generator matrix  $\mathcal{C} = \{xG \mid x \in \mathbb{F}_q^k\}$*
- *$H \in \mathbb{F}_q^{(n-k) \times n}$  parity-check matrix  $\mathcal{C} = \{c \mid cH^\top = 0\}$*
- *$s = eH^\top$  syndrome*
- *Decode: find closest codeword*
- *Hamming metric:  $d_H(x, y) = |\{i \mid x_i \neq y_i\}|$*
- *minimum distance of a code:*
$$d(\mathcal{C}) = \min\{d_H(x, y) \mid x \neq y \in \mathcal{C}\}$$
- *error-correction capacity:  $t = \lfloor (d(\mathcal{C}) - 1)/2 \rfloor$*

# Hard Problems from Coding Theory

Algebraic structure

(Reed-Solomon, Goppa,..)

→ efficient decoders

$$\begin{matrix} \bullet & \bullet & \bullet \\ \langle G \rangle & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet \end{matrix}$$

random code

$$\begin{matrix} \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet \\ \bullet & \bullet & \bullet & \bullet \end{matrix} \quad \langle \tilde{G} \rangle \quad \rightarrow \text{how hard to decode?}$$

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- Decoding random linear code is NP-hard



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scrambling

$$\xrightarrow{\varphi}$$

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- First code-based cryptosystem based on this problem



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Seemingly random code  
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- Decoding random linear code is NP-hard
- First code-based cryptosystem based on this problem
- Fastest solvers: ISD, exponential time



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A. Becker, A. Joux, A. May, A. Meurer “Decoding random binary linear codes in  $2^{n/20}$ : How  $1+1=0$  improves information set decoding”, Eurocrypt, 2012.

# Idea of Signature Schemes

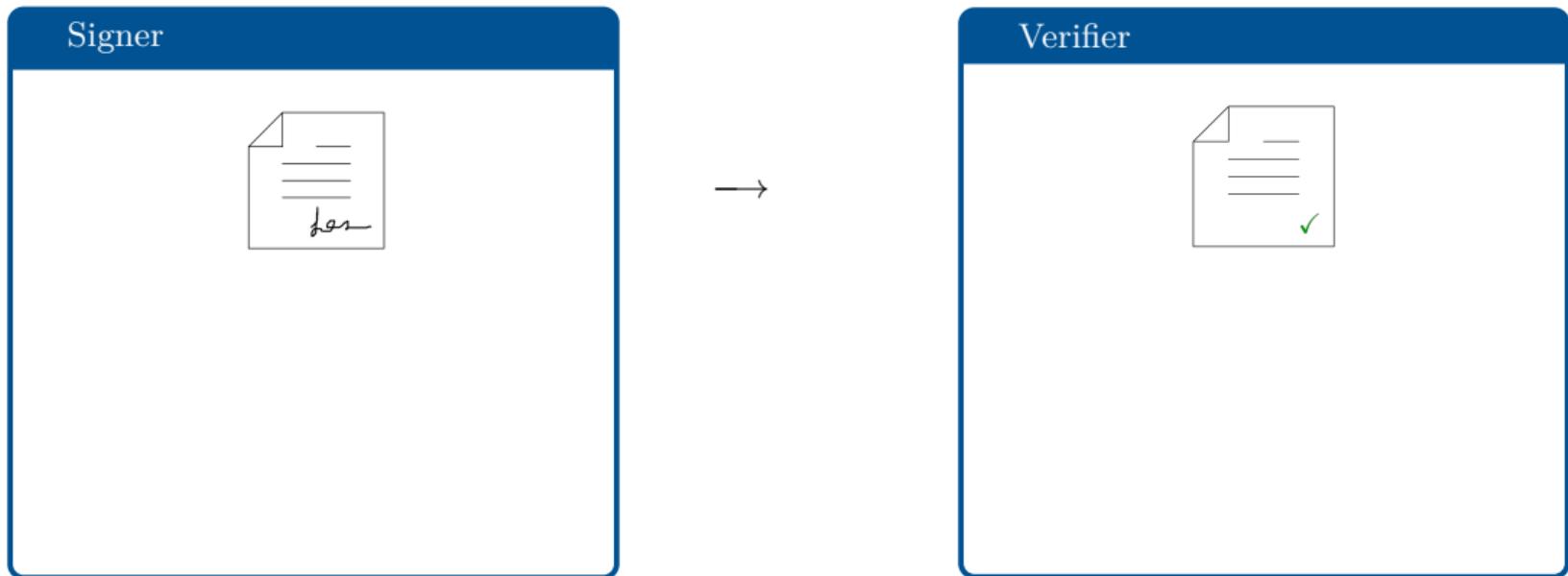
Signer



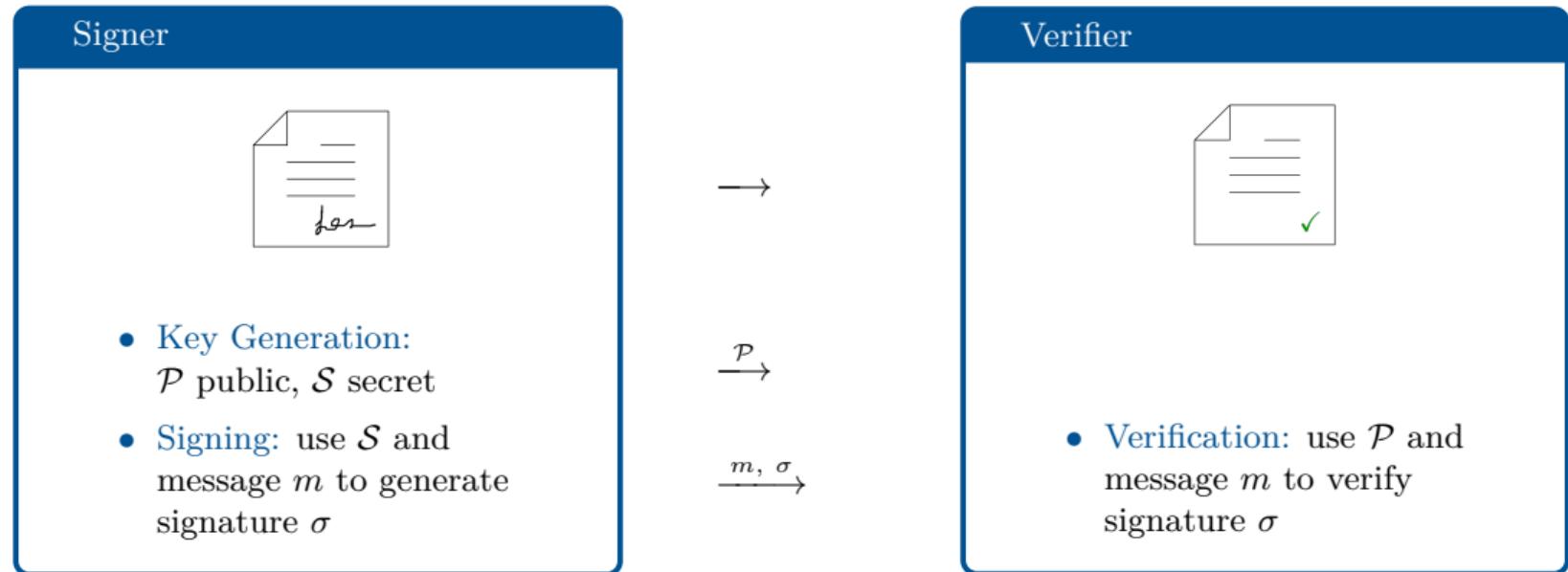
Verifier



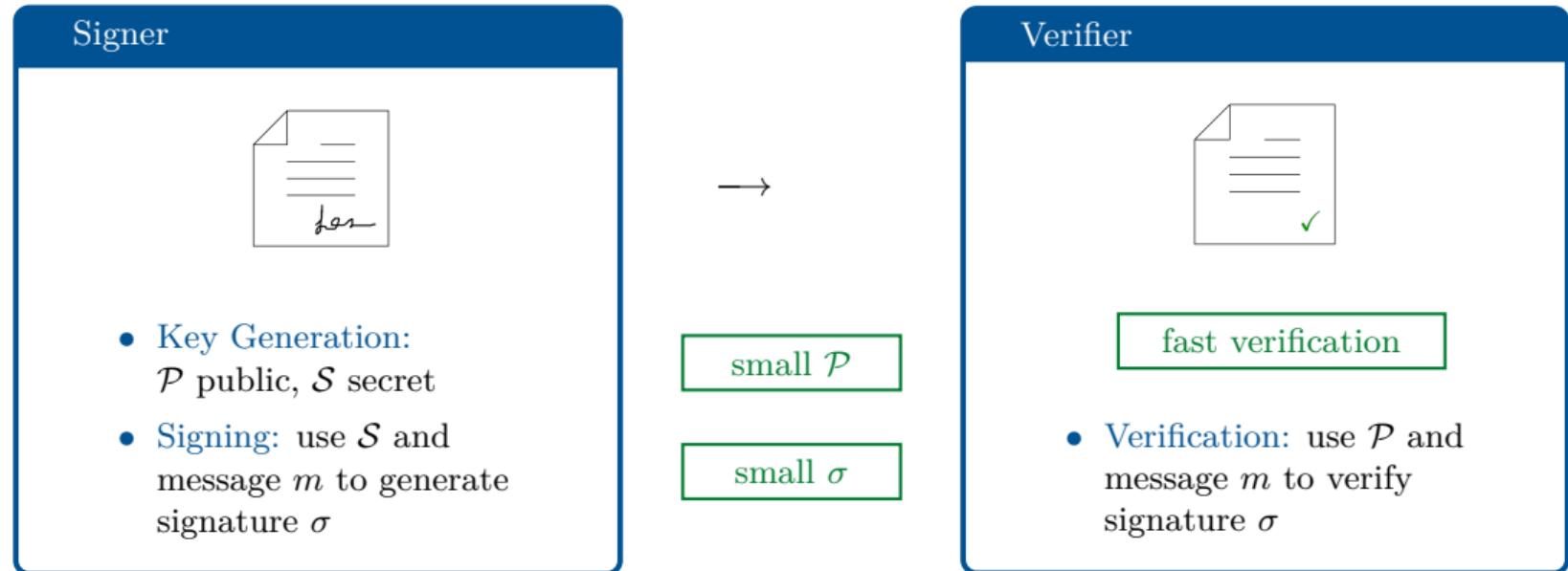
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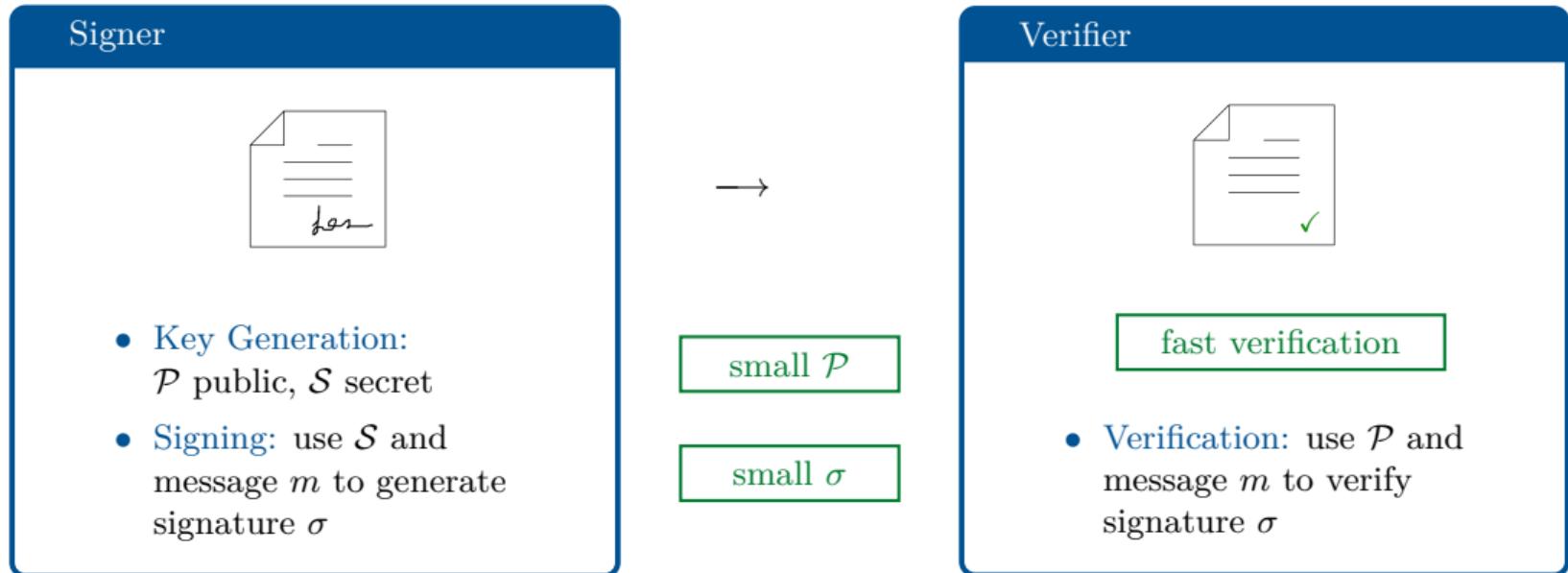
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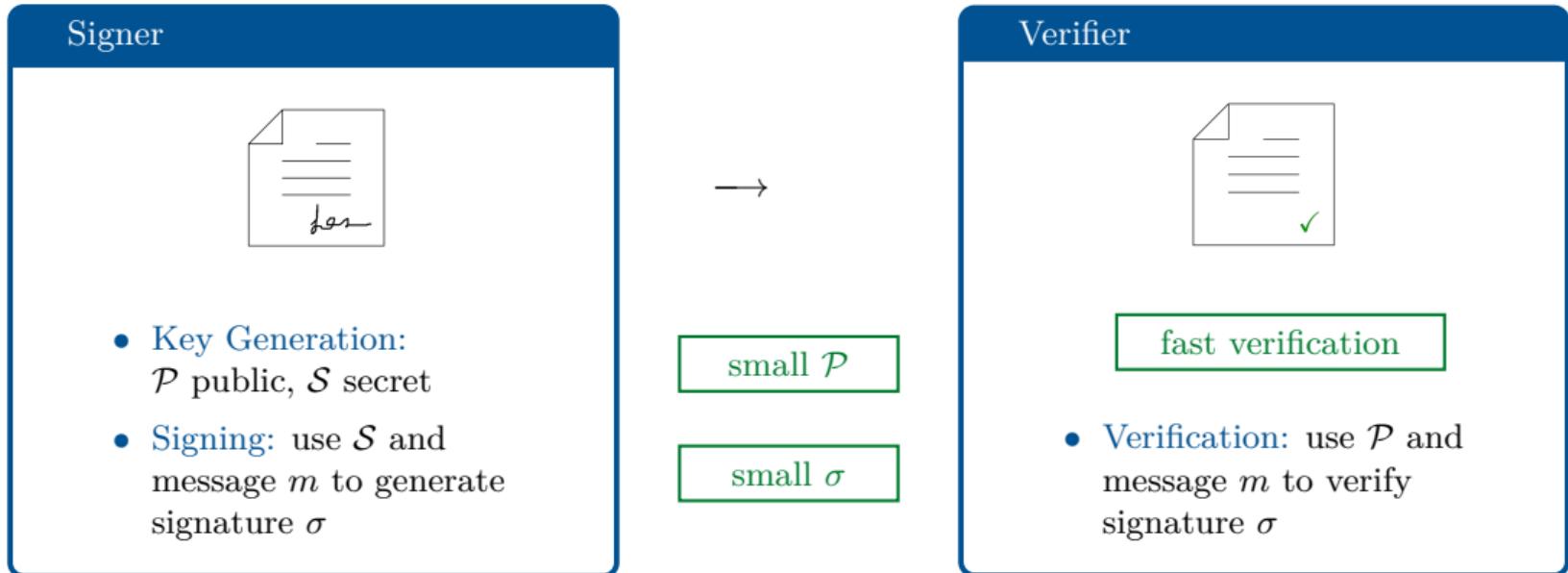
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2 Approaches for signatures:

- Hash-and-Sign
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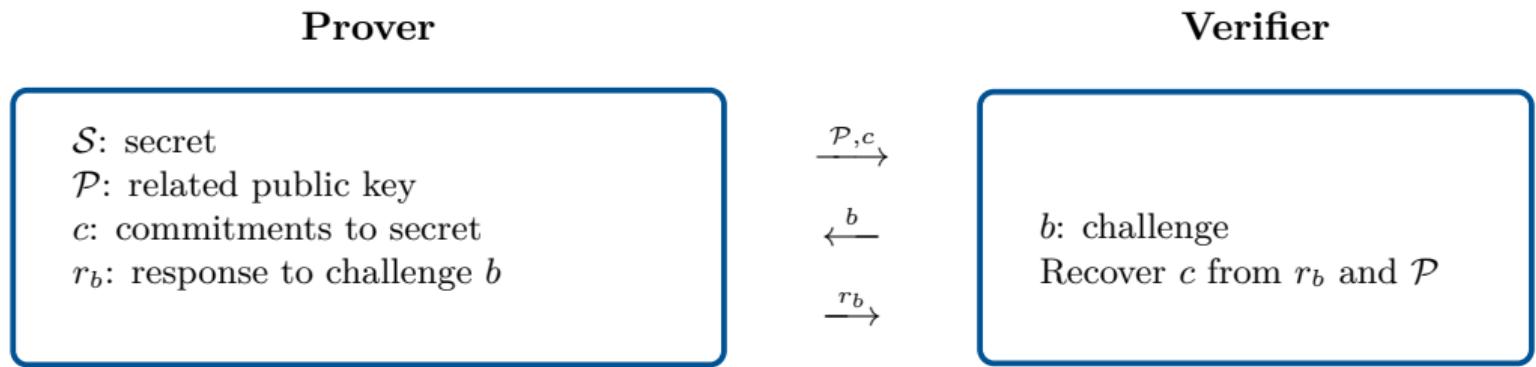
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Main Topic

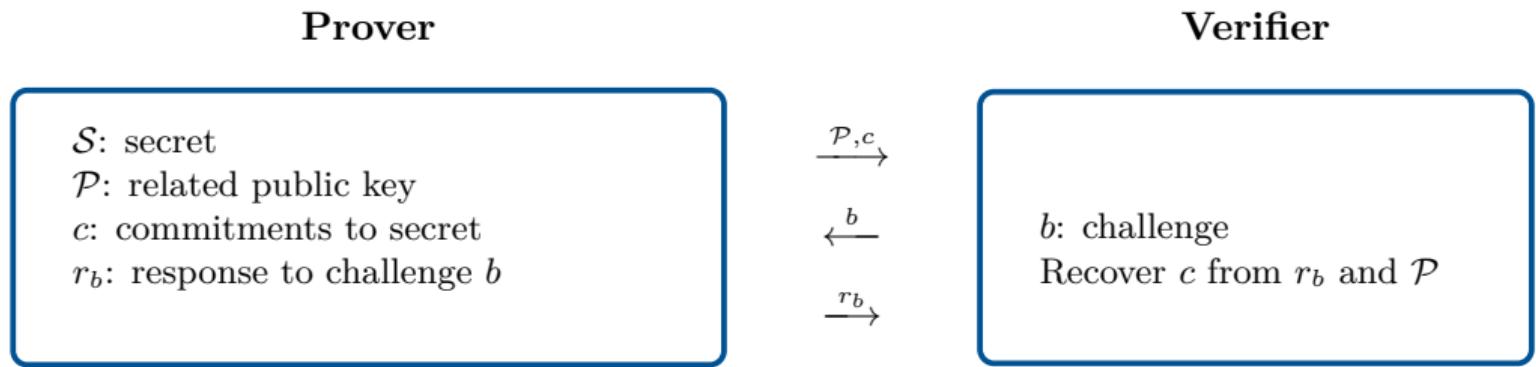
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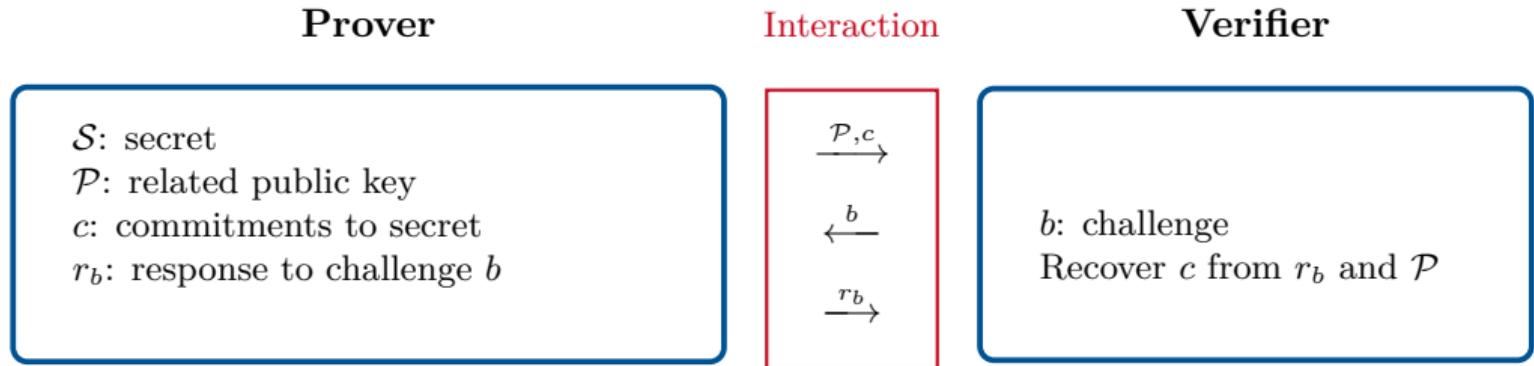
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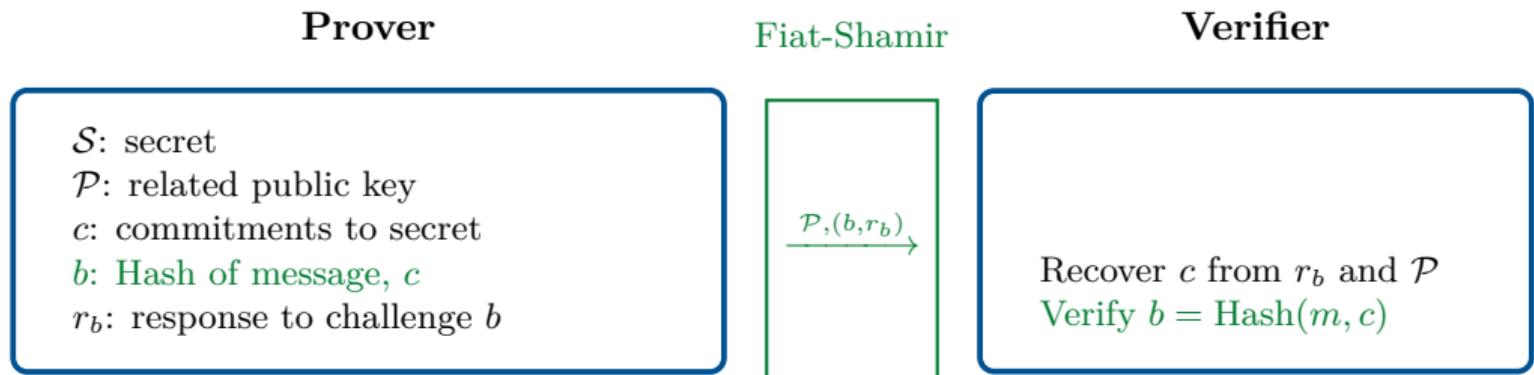
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# Idea of ZK Protocol

N  
↻

## Prover

$S$ : secret  
 $\mathcal{P}$ : related public key  
 $c$ : commitments to secret  
 $b$ : Hash of message,  $c$   
 $r_b$ : response to challenge  $b$

$\xrightarrow{\mathcal{P},(b,r_b)}$

## Verifier

Recover  $c$  from  $r_b$  and  $\mathcal{P}$   
Verify  $b = \text{Hash}(m, c)$

- $\alpha$  cheating probability,  $\lambda$  bit security level
- *Rounds*: have to repeat ZK protocol  $N$  times:  $2^\lambda < (1/\alpha)^N$



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# Code-based ZK Protocols



P.-L. Cayrel, P. Véron, S. El Yousfi Alaoui. “A zero-knowledge identification scheme based on the  $q$ -ary syndrome decoding problem”, Selected Areas in Cryptography, 2011.

## Syndrome Decoding Problem

Given parity-check matrix  $H$ , syndrome  $s$ , weight  $t$ , find  $e$  s.t. 1.  $s = eH^\top$  2.  $\text{wt}_H(e) \leq t$

### Prover

$\mathcal{S}$ :  $e$  of weight  $t$ ,

$\mathcal{P}$ : random  $H$ ,  $s = eH^\top$ ,  $t$

$c_1$ : commitment to syndrome equation 1.

$c_2$ : commitment to weight 2.

response:  $r_1 = \varphi$ ,  $r_2 = \varphi(e)$

### Verifier

$\xrightarrow{\mathcal{P}, c_1, c_2}$

$\xleftarrow{b}$

$b \in \{1, 2\}$

$\xrightarrow{r_b}$

recover  $c_b$  from  $r_b$  and  $\mathcal{P}$

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Classical CVE  $\lambda = 128$  bit security level

$N = 135, q = 31, n = 256, k = 204 \rightarrow$  signature size: 43 kB

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→ 1. Solution: MPC in-the-head

MPC in-the-head NIST submissions

- MIRA: 5.6 KB
- MiRith: 5.6 KB
- MQOM: 6.3 KB
- PERK: 6 KB
- RYDE: 6 KB
- SDitH: 8.2 KB

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$\rightarrow$  size:  $\varphi : n \log_2(q - 1) + n \log_2(n)$  or  $\varphi(e) : t \log_2(q - 1) + t \log_2(n)$

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How to choose  $\mathbb{E}$ ?

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# Restricted Errors



M. Baldi, S. Bitzer, A. Pavoni, P. Santini, A. Wachter-Zeh, **V.W.** “Zero knowledge protocols and signatures from the restricted syndrome decoding problem”, Preprint, 2023

$$(\mathbb{E}, \cdot) < (\mathbb{F}_q^*, \cdot) \rightarrow g \in \mathbb{F}_q^* \text{ of prime order } z \rightarrow \mathbb{E} = \{g^i \mid i \in \{1, \dots, z\}\}$$

$$q = 13 \rightarrow g = 3 \text{ order } z = 3 \rightarrow \mathbb{E} = \{1, 3, 9\}$$

$$(\mathbb{E}^n, \star) \xrightarrow{\ell} (\mathbb{F}_z^n, +)$$

- $e = (1, 9, 3, 3) \in \{1, 3, 9\}^4$
- trans.:  $\varphi : \mathbb{E}^n \rightarrow \mathbb{E}^n, e \mapsto e \star e'$
- $\varphi : e' = (3, 9, 1, 3) \in \mathbb{E}^n$
- $\varphi(e) = e \star e' \in (\mathbb{E}^n, \star)$
- $\varphi(e) = (1, 9, 3, 3) \star (3, 9, 1, 3)$

- $\ell(e) = (0, 2, 1, 1) \in \mathbb{F}_3^4$
- $\ell(\varphi) \in \mathbb{F}_z^n$
- $\ell(\varphi) : \ell(e') = (1, 2, 0, 1) \in \mathbb{F}_3^4$
- $\ell(e) + \ell(e') \in (\mathbb{F}_z^n, +)$
- $(0, 2, 1, 1) + (1, 2, 0, 1)$

size of  $\varphi$ : old:  $n \log_2((q - 1)n)$   
arithmetic: old:  $(\mathbb{F}_q^n, \cdot)$

new:  $n \log_2(z)$   
new:  $(\mathbb{F}_z^n, +)$

# Restricted Errors



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Can do even better

# Restricted- $G$ SDP

## Restricted Syndrome Decoding Problem

Given  $H \in \mathbb{F}_q^{(n-k) \times n}$ ,  $s \in \mathbb{F}_q^{n-k}$ ,  $\mathbb{E} \subseteq \mathbb{F}_q^*$ , find  $e \in \mathbb{E}^n$  s.t.  $s = eH^\top$ .

- $(\mathbb{E}^n, \star) \cong (\mathbb{F}_z^n, +)$
- $e = (1, 9, 3, 3) \in \mathbb{E}^4 = \{1, 3, 9\}^4$

# Restricted- $G$ SDP

## Restricted- $G$ Syndrome Decoding Problem

Given  $H \in \mathbb{F}_q^{(n-k) \times n}$ ,  $s \in \mathbb{F}_q^{n-k}$ ,  $\mathbb{E} \subseteq \mathbb{F}_q^*$ ,  $\textcolor{green}{G} = \langle x_1, \dots, x_m \rangle \leq \mathbb{E}^n$  find  $\textcolor{green}{e} \in \textcolor{green}{G}$  s.t.  $s = eH^\top$ .

- $(\mathbb{E}^n, \star) \cong (\mathbb{F}_z^n, +)$
- $e = (1, 9, 3, 3) \notin G$
- Subgroup  $(G, \star) \leq (\mathbb{E}^n, \star)$
- $x_1 = (9, 1, 9, 1), x_2 = (9, 9, 1, 9), x_3 = (1, 9, 9, 3)$
- $G = \langle x_1, \dots, x_m \rangle$
- $e' = x_1^2 \star x_2^1 \star x_3^0 = (1, 9, 3, 9) \in G$
- $e' = \prod_{i=1}^m x_i^{u_i} \in G$

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- $M_G = [\ell(x_i)] \in \mathbb{F}_z^{m \times n}$
- $\ell(e') = yM_G, y \in \mathbb{F}_z^m$
- $\ell(e') = (0, 2, 1, 2) = (2, 1, 0)M_G$
- fast arithmetic

# Restricted- $G$ SDP

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- $(\mathbb{E}^n, \star) \cong (\mathbb{F}_z^n, +)$

→ Subgroup  $(G, \star) \leq (\mathbb{E}^n, \star)$

$$G = \langle x_1, \dots, x_m \rangle$$

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- $e' = x_1^2 \star x_2^1 \star x_3^0 = (1, 9, 3, 9) \in G$

- $M_G = \begin{pmatrix} 2 & 0 & 2 & 0 \\ 2 & 2 & 0 & 2 \\ 0 & 2 & 2 & 1 \end{pmatrix}$

- $\ell(e') = (0, 2, 1, 2) = (2, 1, 0)M_G$

→ rest.:  $n \log_2(z)$

→ rest.- $G$ :  $m \log_2(z)$

# Is this Safe?

## Restricted Syndrome Decoding Problem

Given  $H \in \mathbb{F}_q^{(n-k) \times n}$ ,  $s \in \mathbb{F}_q^{n-k}$ ,  $\mathbb{E} \subseteq \mathbb{F}_q^\star$ , find  $e \in \mathbb{E}^n$  s.t.  $s = eH^\top$ .

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- Restricted errors first  
introduced:  $g = -1 \rightarrow z = 2$



M. Baldi, M. Battaglioni, F. Chiaraluce, A.-L. Horlemann, E. Persichetti, P. Santini, V.W. “A new path to code-based signatures via identification schemes with restricted errors. ”, 2020.

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- Restricted errors first introduced:  $g = -1 \rightarrow z = 2$
- several proposals for small  $z$  e.g.  $z = 4, 6$



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- Restricted errors first introduced:  $g = -1 \rightarrow z = 2$
- several proposals for small  $z$  e.g.  $z = 4, 6$
- Information set decoding using subset-sum solvers



M. Baldi, M. Battaglioni, F. Chiaraluce, A.-L. Horlemann, E. Persichetti, P. Santini, V.W. “A new path to code-based signatures via identification schemes with restricted errors. ”, 2020.



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M. Baldi, S. Bitzer, A. Pavoni, P. Santini, A. Wachter-Zeh, V.W. “Generic Decoding of Restricted Errors. ”, ISIT, 2023.

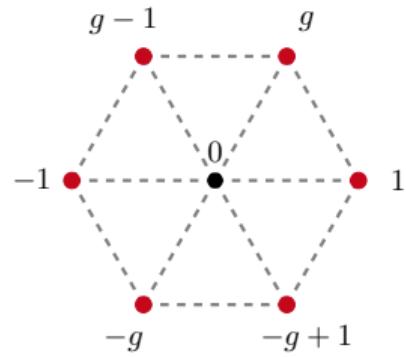
→ a lot of additive structure on  $\mathbb{E}$  not safe

# Is this Safe?

- additive structure on  $\mathbb{E}$   
not safe

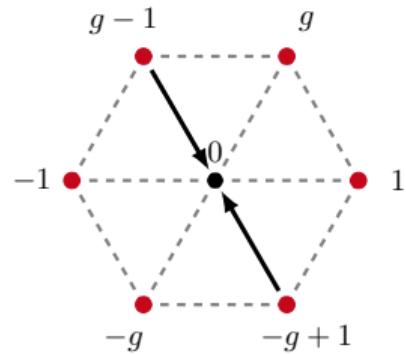
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not safe
- Sebastian's Poster



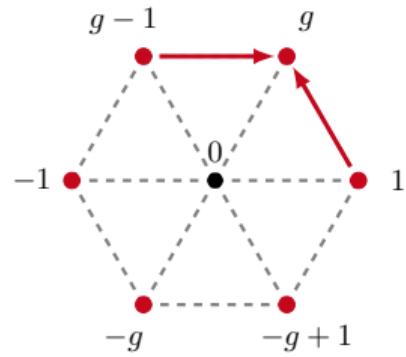
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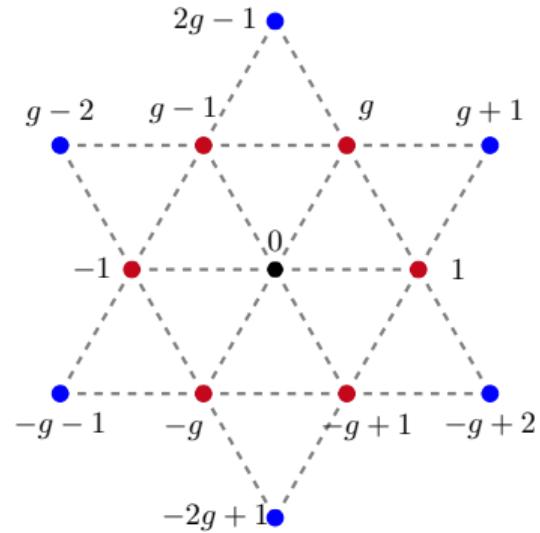
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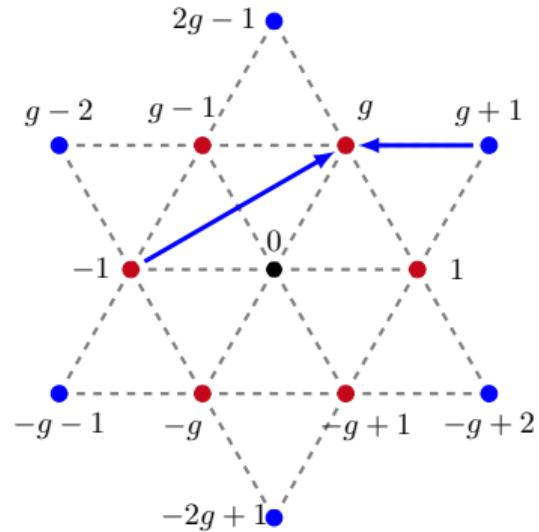
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# Is this Safe?

- additive structure on  $\mathbb{E}$   
not safe
- Sebastian's Poster
- Our  $\mathbb{E}$ : little additive structure
- $q = 127, z = 7$
- $\mathbb{E} = \{1, 2, 4, 8, 16, 32, 64\}$



# Performance of Restricted- $G$ Signatures

## Restricted CVE

- classical:  $q = 31, n = 256, k = 204$  → signature size: 43 kB

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## Restricted CVE

- classical:  $q = 31, n = 256, k = 204$  → signature size: 43 kB
- rest.:  $q = 127, z = 7, n = 2k = 127$  → signature size: 10 kB
- rest.- $G$ :  $q = 509, z = 127, m = 24, n = 2k = 42$  → signature size: 7 kB

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## Conclusion

- Can replace SDP with Rest. SDP/ Rest.- $G$  SDP in any code-based ZK protocol
- Achieve smaller signature sizes, smaller running times

# Questions?



Scan me



CROSS

Codes & Restricted Objects Signature Scheme

<http://cross-crypto.com/>

# Thank you!

## Running times

Running time given in kCycles, CROSS has only PoC, no optimization, parallelization

Scheme	Key gen.	Signature gen.	Verification
SPHINCS	1794	5802	6506
Dilithium	49	140	61
CROSS	19	187	184

# Is this Safe?

$G = \langle x_1, \dots, x_m \rangle$ : use generators?

No:  $\prod_{i=1}^m x_i^{u_i} H^\top = s$

→ not compatible unlike  $\sum_{i=1}^m \lambda_i x_i H^\top = s$

# Solving Restricted SDP in subgroup $G$

- we want  $q, z$  such that  $\mathbb{E}$  has no additive structure
- Publicly known:  $x_1, \dots, x_m$  generators of multiplicative group  $G$
- $x_\ell = (g^{i_{1,\ell}}, \dots, g^{i_{n,\ell}})$
- define  $M_G \in \mathbb{F}_z^{m \times n}$  having rows  $(i_{1,\ell}, \dots, i_{n,\ell})$

$$M_G = \begin{bmatrix} i_{1,\ell} & \cdots & i_{n,\ell} \end{bmatrix}^J$$

$\hookrightarrow \text{rank } m'$

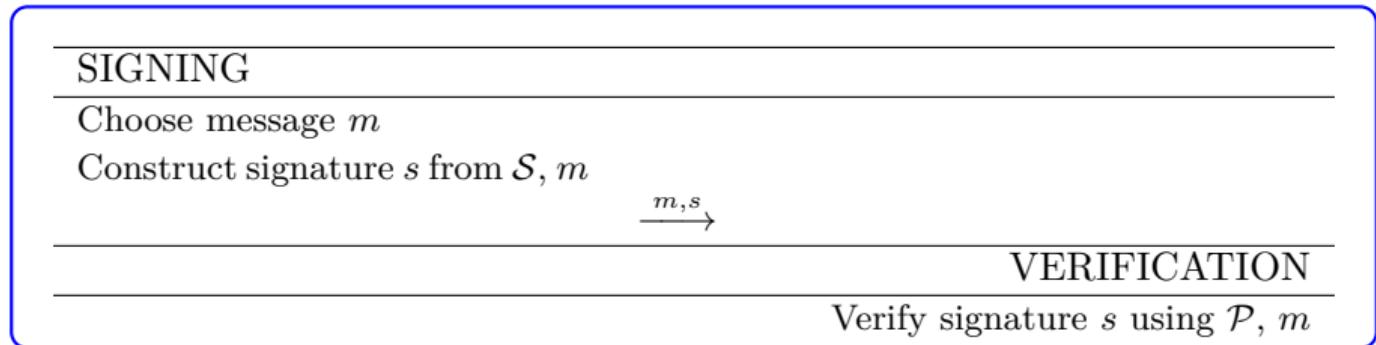
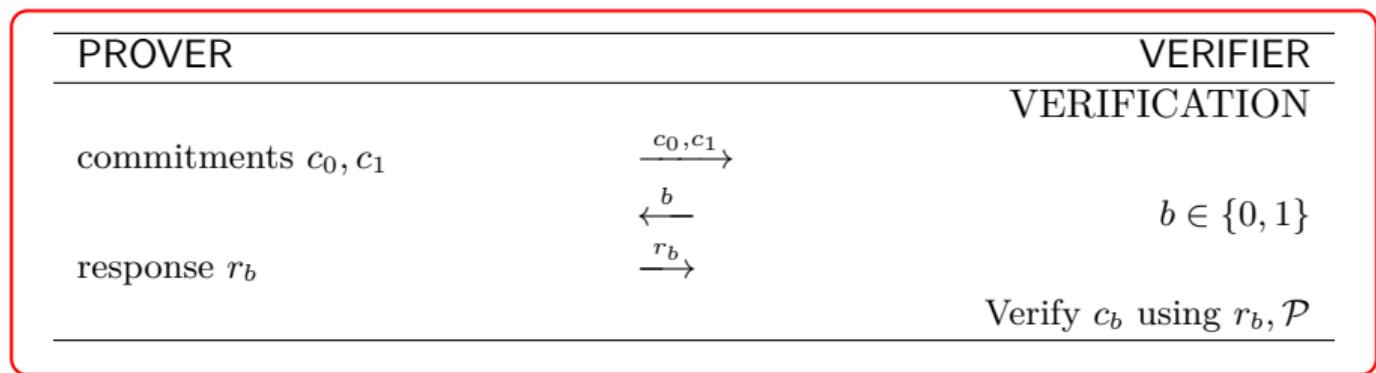
$$m' \geq \min \left\{ |J|, \frac{\lambda}{\log_2(z)} \right\} \rightarrow \text{no improvement over enumerating all possible errors in these positions}$$

# Comparison

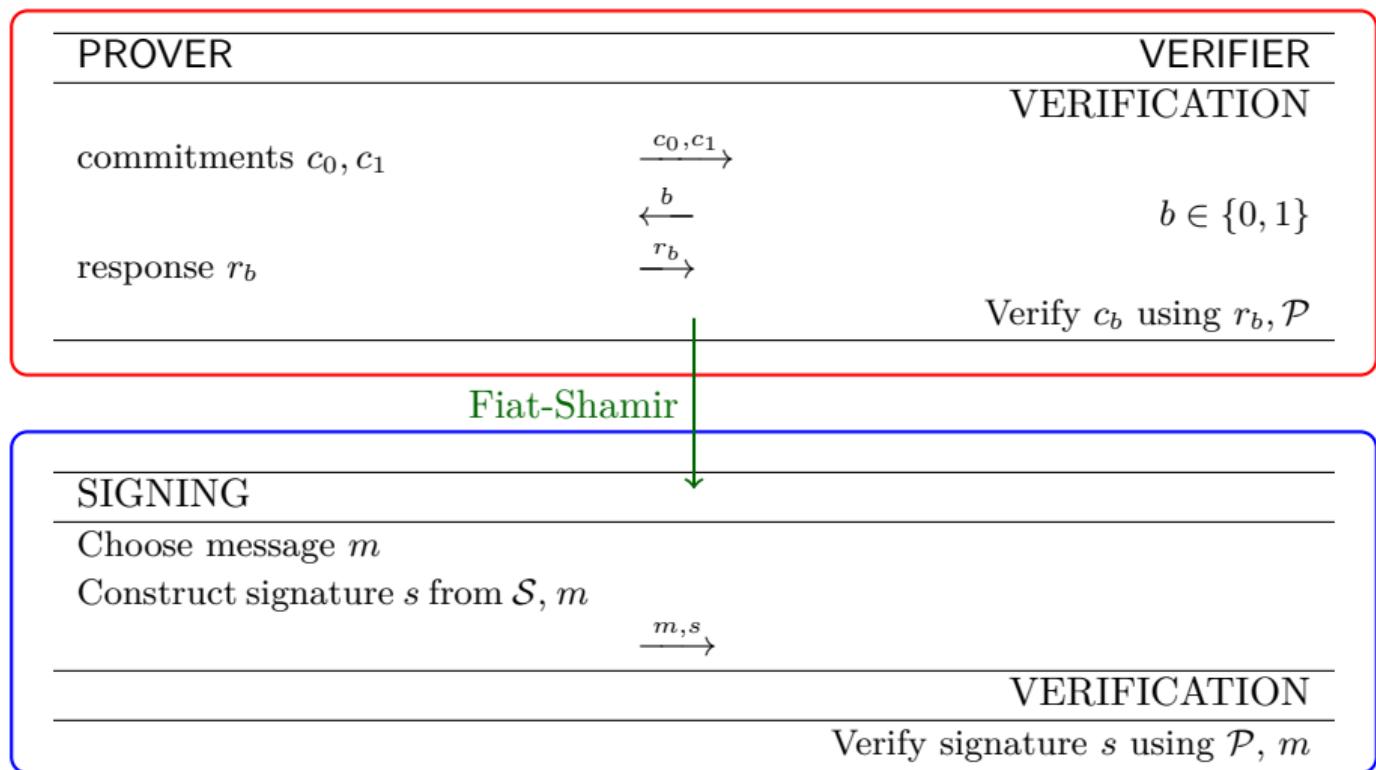
Scheme	Public Key size	Signature size	Total size	Variant
SPHINCS <sup>+</sup>	<0.1	16.7	16.7	Fast
	<0.1	7.7	7.7	Short
Falcon	0.9	0.6	1.5	-
Dilithium	1.3	2.4	3.7	-
CROSS	0.1	7.7	7.8	Fast
	0.1	7.2	7.3	Short
GPS	0.1	24.0	24.1	Fast
	0.1	19.8	19.9	Short
FJR	0.1	22.6	22.7	Fast
	0.1	16.0	16.1	Short
SDItH	0.1	11.5	11.6	Fast
	0.1	8.3	8.4	Short
Ret. of SDitH	0.1	12.1	12.1	Fast, V3
	0.1	5.7	5.8	Shortest, V3

# Comparison

Scheme	Public Key size	Signature size	Total size	Variant
WAVE	3200	2.1	3202	-
Durandal	15.2	4.1	19.3	-
Ideal Rank BG	0.5	8.4	8.9	Fast
	0.5	6.1	6.6	Short
MinRank Fen	18.2	9.3	27.5	Fast
	18.2	7.1	25.3	Short
Rank SDP Fen	0.9	7.4	8.3	Fast
	0.9	5.9	6.8	Short
Beu	0.1	18.4	18.5	Fast
	0.1	12.1	12.2	Short
PKP BG	0.1	9.8	9.9	Fast
	0.1	8.8	8.9	Short
FuLeeca	1.3	1.1	2.4	-



## Signature Scheme



## Signature Scheme

# Fiat-Shamir

PROVER	VERIFIER
KEY GENERATION	
Given $\mathcal{P}, \mathcal{S}$ of some ZKID and message $m$	
SIGNING	
Choose commitment $c$ $b = \text{Hash}(m, c)$ Compute response $r_b$ Signature $s = (b, r_b)$	$\xrightarrow{m, s}$
	VERIFICATION
	Using $r_b, \mathcal{P}$ construct $c$ check if $b = \text{Hash}(m, c)$

PROVER	VERIFIER
KEY GENERATION	
Choose $e$ with $\text{wt}(e) \leq t$	
$H$ parity-check matrix	
Compute $s = eH^\top$	$\xrightarrow{\mathcal{P}=(H,s,t)}$
VERIFICATION	
Choose $u \in \mathbb{F}_q^n$ , $\sigma \in \mathcal{S}_n$	
Set $c_1 = \text{Hash}(\sigma, uH^\top)$	
Set $c_2 = \text{Hash}(\sigma(u), \sigma(e))$	$\xrightarrow{c_1,c_2}$
	$\xleftarrow{z}$ Choose $z \in \mathbb{F}_q^\times$
Set $y = \sigma(u + ze)$	$\xrightarrow{y}$
$r_1 = \sigma$	$\xleftarrow{b}$ Choose $b \in \{1, 2\}$
$r_2 = \sigma(e)$	$\xrightarrow{r_b}$ $b = 1: c_1 = \text{Hash}(\sigma, \sigma^{-1}(y)H^\top - zs)$ $b = 2: \text{wt}(\sigma(e)) = t$ and $c_2 = \text{Hash}(y - z\sigma(e), \sigma(e))$

PROVER	VERIFIER
KEY GENERATION	
Choose $e$ with $\text{wt}(e) \leq t$	Recall SDP: (1) $s = eH^\top$ (2) $\text{wt}(e) \leq t$
$H$ parity-check matrix	
Compute $s = eH^\top$	$\xrightarrow{\mathcal{P}=(H,s,t)}$
	VERIFICATION
Choose $u \in \mathbb{F}_q^n$ , $\sigma \in \mathcal{S}_n$	
Set $c_1 = \text{Hash}(\sigma, uH^\top)$	
Set $c_2 = \text{Hash}(\sigma(u), \sigma(e))$	$\xrightarrow{c_1, c_2}$
Set $y = \sigma(u + ze)$	$\xleftarrow{z}$
	Choose $z \in \mathbb{F}_q^\times$
$r_1 = \sigma$	$\xrightarrow{y}$
$r_2 = \sigma(e)$	$\xleftarrow{b}$
	Choose $b \in \{1, 2\}$
	$b = 1: c_1 = \text{Hash}(\sigma, \sigma^{-1}(y)H^\top - zs)$
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	$b = 2$ : $\text{wt}(\sigma(e)) = t$
	and $c_2 = \text{Hash}(y - z\sigma(e), \sigma(e))$

Problem: big signature sizes

# Cheating Probability

- Cheating probability = Probability of impersonator getting accepted
- For security level  $2^\lambda$  want cheating probability  $2^{-\lambda}$
- If cheating probability  $\delta$ , with  $N$  rounds  $\rightarrow$  cheating probability  $\delta^N$

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- might need many rounds: large communication cost
- solution: compression technique
- do not send  $c_0^i, c_1^i$  in each round  $i$
- before 1. round send  $c = \text{Hash}(c_0^1, c_1^1, \dots, c_0^N, c_1^N)$
- $i$ th round: receiving challenge  $b$  prover sends  $r_b^i, c_{1-b}^i$
- end: verifier checks  $c = \text{Hash}(c_0^1, c_1^1, \dots, c_0^N, c_1^N)$



C. Aguilar, P. Gaborit, J. Schrek. “A new zero-knowledge code based identification scheme with reduced communication”, IEEE Information Theory Workshop, 2011.

# Cheating Probability

- Cheating probability = Probability of impersonator getting accepted
- For security level  $2^\lambda$  want cheating probability  $2^{-\lambda}$
- If cheating probability  $\delta$ , with  $N$  rounds  $\rightarrow$  cheating probability  $\delta^N$
- **might need many rounds: large communication cost**
- other solution: **MPC in the head**
- third party: trusted helper sends commitments  $\rightarrow \delta = 0$
- instead prover sends seeds of commitment: not ZK  $\rightarrow$  cut and choose
- $x < N$  times send response,  $N - x$  times send the seed of commitment
- to compress: use Merkle root or seed tree



T. Feneuil, A. Joux, M. Rivain. “Syndrome decoding in the head: Shorter signatures from zero-knowledge proofs”, 2022.