

## HOMEWORK ASSIGNMENT 2

**Exercise 1.** (*Bound on the Airy function A*)

Recall from class that we are interested in bounding the expression

$$I(x) = \int_{-\infty}^{+\infty} e^{ix\xi + i\xi^3} \phi_0(\xi) \, d\xi \quad (1)$$

uniformly in  $x \in \mathbb{R}$ . Here  $\phi_0 \in C^\infty(\mathbb{R})$  is chosen such that

$$\phi_0(\xi) = \begin{cases} 1 & \text{for } |\xi| > 4 \\ 0 & \text{for } |\xi| < 3. \end{cases} \quad (2)$$

Note that we can rewrite (1) as

$$I(x) = \int_{-\infty}^{+\infty} e^{i\Psi_x(\xi)} \phi_0(\xi) \, d\xi \quad (3)$$

where, for fixed  $x \in \mathbb{R}$  we define

$$\Psi_x(\xi) := x\xi + \xi^3. \quad (4)$$

In other words, in the phase function defined in (4),  $x$  is treated as a parameter.

a) If  $x > -3$ , show that we have

$$|x + 3\xi^2| \geq |x| + |\xi|^2 \quad (5)$$

for all  $\xi$  in the support of  $\phi_0$ . Explain how we can deduce the claim for  $x > -3$  from (5) and integration by parts.

b) If  $x \leq -3$  define the following sets.

$$A := \left\{ \xi \in \mathbb{R}, |x + 3\xi^2| \leq \frac{|x|}{2} \right\}. \quad (6)$$

$$B := \left\{ \xi \in \mathbb{R}, |x + 3\xi^2| < \frac{|x|}{3} \right\}. \quad (7)$$

We know that there exist nonnegative functions  $\phi_1 \in C_c^\infty(\mathbb{R})$ ,  $\phi_2 \in C^\infty(\mathbb{R})$  such that

$$\begin{cases} \phi_1 + \phi_2 = 1 \\ \text{supp } \phi_1 \subset A \\ \phi_2 = 0 \quad \text{on } B. \end{cases} \quad (8)$$

(This fact can be used without proof). For  $j = 1, 2$ , we define the following quantities.

$$I_j(x) := \int_{-\infty}^{+\infty} e^{ix\xi + i\xi^3} \phi_0(\xi) \phi_j(\xi) \, d\xi. \quad (9)$$

In particular, we can write

$$I = I_1 + I_2. \quad (10)$$

In what follows, we show that  $I_1$  and  $I_2$  are both bounded which by (10) implies the claim.

b1) Show that for  $\xi \in A$  we have

$$|\Psi_x''(\xi)| \sim |x|^{\frac{1}{2}}. \quad (11)$$

In the proof of (11), it is helpful to note that for  $\xi \in A$  we have

$$|\xi|^2 \sim |x|. \quad (12)$$

Explain how one can combine (11) and the Van der Corput lemma and deduce that  $I_1$  is bounded.

**Note:** In order to do this properly, one should choose  $\phi_1$  in the correct way. Observe that by (8) and (12) we have that  $\phi_1$  can be chosen to be a function adapted to an annulus of size  $\sim |x|^{1/2}$ . In other words, it can be chosen as a rescaling of a function  $F$  independent of  $x$  supported on an annulus of size  $\sim 1$  in such a way that the result is supported on an annulus of size  $\sim |x|^{1/2}$ .

b2) For  $\xi \notin B$ , show that we have

$$|x + 3\xi^2| \geq C(|x| + |\xi|^2) \quad (13)$$

for some  $C > 0$ . Conclude that  $I_2$  is bounded.

**Exercise 2.** (An alternative proof of the inhomogeneous Strichartz estimate)

We work on  $\mathbb{R}^n$ . We assume the homogeneous Strichartz estimate for all admissible pairs  $(q, r)$  in dimension  $n$ . Throughout the exercise, assume that  $q > 2$ , i.e. that we are in the non-endpoint case. Define the operator  $\Psi$  by the following rule.

$$\Psi : f \mapsto \Psi_f(x, t) := \int_0^t S(t-s) f(\cdot, s) \, ds.$$

Here  $S(\cdot)$  denotes the free Schrödinger evolution and we evaluate the expression on the right-hand side at  $x$ . We want to prove that

$$\|\Psi_f\|_{L_t^q L_x^r} \lesssim_{q,r,\tilde{q},\tilde{r},n} \|f\|_{L_t^{\tilde{q}'} L_x^{\tilde{r}'}} \quad (14)$$

for all  $(q, r), (\tilde{q}, \tilde{r})$  admissible in dimension  $n$ . In this exercise, we give a proof of (14) using duality. In order to do this, we define the following operators.

$$\Xi_f(x, t) := \int_t^\infty S(t-s) f(\cdot, s) \, ds. \quad (15)$$

Furthermore, given  $t_0 \in \mathbb{R}$  we define

$$\Psi_{f,t_0}(x, t) := \int_0^{t_0} S(t-s) f(\cdot, s) \, ds. \quad (16)$$

a) Show that for all  $(q, r)$  admissible in dimension  $n$  we have the following estimates.

$$\|\Psi_f\|_{L_t^q L_x^r} \lesssim_{q,r,n} \|f\|_{L_t^{q'} L_x^{r'}} \quad (17)$$

$$\|\Xi_f\|_{L_t^q L_x^r} \lesssim_{q,r,n} \|f\|_{L_t^{q'} L_x^{r'}} \quad (18)$$

$$\|\Psi_{f,t_0}\|_{L_t^q L_x^r} \lesssim_{q,r,n} \|f\|_{L_t^{q'} L_x^{r'}}. \quad (19)$$

In particular, note that the implied constant in (19) is uniform in  $t_0$ .

b) Show that we have

$$\|\Psi_f\|_{L_t^\infty L_x^2} \lesssim_{q,r,n} \|f\|_{L_t^{q'} L_x^{r'}} \quad (20)$$

for all  $(q,r)$  admissible in dimension  $n$ .

Here, it is helpful to write the expression

$$\langle \Psi_f(t), \Psi_f(t) \rangle_{L_x^2}$$

in terms of the operator  $\Psi_{f,*}$  defined in (16) and apply the result of a).

Note also that

$$\|\Xi_f\|_{L_t^\infty L_x^2} \lesssim_{q,r,n} \|f\|_{L_t^{q'} L_x^{r'}}. \quad (21)$$

(This follows from the same proof.)

c) Show that we have

$$\|\Psi_f\|_{L_t^q L_x^r} \lesssim_{q,r,n} \|f\|_{L_t^1 L_x^2} \quad (22)$$

for all  $(q,r) \neq (\infty, 2)$  admissible in dimension  $n$ .

Here, it is helpful to use duality and for  $g \in L_t^{q'} L_x^{r'}$  write the pairing

$$\int_{-\infty}^{\infty} \langle \Psi_f(t), g \rangle_{L_x^2} dt$$

in terms of the operator  $\Xi$  defined in (15) and apply (21).

d) Check directly that

$$\|\Phi_f(t)\|_{L_x^2} \leq \|f\|_{L_t^1 L_x^2}. \quad (23)$$

e) Note that parts a)-d) imply that

$$\begin{cases} \Psi : L_t^{q'} L_x^{r'} \rightarrow L_t^q L_x^r \\ \Psi : L_t^{q'} L_x^{r'} \rightarrow L_t^\infty L_x^2 \\ \Psi : L_t^1 L_x^2 \rightarrow L_t^q L_x^r \end{cases} \quad (24)$$

as bounded operators for all  $(q,r)$  admissible in dimension  $n$ .

Conclude (14) from (24) and interpolation in mixed norm spaces. Here, one should consider two cases depending on the relative sizes of  $q$  and  $\tilde{q}$ .